Procedural Matters (Open Session) Page 27009

1	Monday, 22 September 2025
2	[Open session]
3	[The accused entered the courtroom]
4	Upon commencing at 9.00 a.m.
5	PRESIDING JUDGE SMITH: Madam Court Officer, please call the
6	case.
7	THE COURT OFFICER: Good morning, Your Honours. This is file
8	KSC-BC-2020-06, The Specialist Prosecutor versus Hashim Thaci,
9	Kadri Veseli, Rexhep Selimi, and Jakup Krasniqi.
10	PRESIDING JUDGE SMITH: Good morning, everyone.
11	I note that the accused are all present in court today. We will
12	start hearing the evidence of Thaci Defence Witness 1DW-006.
13	Judge Mettraux is appearing via videolink today.
14	First, as a reminder, in filing F03468, the Panel authorised
15	certain measures under Rule 107 for the present witness, including
16	that 1DW-006's witness statement forms the basis for his wider
17	evidence and that the scope of cross-examination of the witness
18	should be limited to the scope of direct examination as well as
19	issues or questions of credibility; that the witness's testimony be
20	moved into private session if a risk of inadvertent disclosure or
21	sensitive or classified information arises; that the witness may
22	decline to answer questions on the ground of confidentiality.
23	The Panel will not entertain questions it cannot be shown to be
24	clearly linked to matters authorised by Rule 107 provider and
25	relevant to the case. Where queried on this point, the questioning

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party should be ready to state how certain lines of questioning fall 1

- within the scope of Rule 107 authorisation. 2
- Madam Court Officer, please bring the witness in. 3
- [The witness entered court] 4
- PRESIDING JUDGE SMITH: Good morning, Witness. 5
- THE WITNESS: Good morning. 6
- PRESIDING JUDGE SMITH: The Court Usher will now provide you 7
- with the text of the solemn declaration that you are asked to take 8
- pursuant to our Rules of Procedure 141(2). Take a look at it and 9
- 10 then read it aloud.
- THE WITNESS: Conscious of the significance of my testimony and 11
- my legal responsibility, I solemnly declare that I will tell the 12
- truth, the whole truth, and nothing but the truth, and that I shall 13
- not withhold anything which has come to my knowledge. 14
- WITNESS: JOHN DUNCAN 15
- PRESIDING JUDGE SMITH: Thank you, Witness. You can be seated 16
- 17 now.
- 18 THE WITNESS: Thank you.
- PRESIDING JUDGE SMITH: Witness, today we will start your 19
- testimony, which is expected to last approximately two to three days 20
- maximum. As you may know, the Thaci Defence will ask you questions 21
- first and then the remaining Defence teams, and once they are 22
- finished the SPO has the right to ask questions of you. Members of 23
- the Panel might also have some questions for you. 24
- 25 The Thaci Defence estimate for your direct examination is 4.5

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The remaining Defence teams will take approximately two and a 1 hours.

- half hours. The SPO estimates that it will need four hours. As 2
- regards each estimate, we hope that counsel will be judicious in the 3
- use of their time. The Panel may allow redirect examination if 4
- conditions for it are met. 5
- Witness, please try to answer the questions clearly, with short 6
- sentences. If you don't understand a question, feel free to ask 7
- counsel to repeat the question or tell them you don't understand and 8
- they will clarify. Also, please try to indicate the basis of your 9
- 10 knowledge of facts and circumstances that you will be asked about.
- Please also speak into the microphone and wait five seconds 11
- before answering a question, and then speak at a slow pace so the 12
- interpreters can catch up. 13
- During the next days while you are giving evidence in this 14
- Court, you are not allowed to discuss with anyone the content of your 15
- testimony outside of the courtroom. If any person asks you questions 16
- outside this Court about your testimony, let us know immediately. 17
- 18 Please stop talking if I ask you to do so and also stop talking
- if you see me raise my hand. These indications mean that I need to 19
- give you an instruction. 20
- If you feel the need to take breaks at any point or wish us to 21
- repeat anything, please make an indication and an accommodation will 22
- be made. 23
- We begin first with the questions from the Thaci Defence team. 24
- 25 Please give them your attention.

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- Examination by Ms. Tavakoli
- Ms. Tavakoli. 1
- MS. TAVAKOLI: Your Honour, before I begin, is the witness aware
- that Judge Mettraux is attending via videolink? 3
- PRESIDING JUDGE SMITH: [Microphone not activated]. 4
- THE WITNESS: I am aware. 5
- PRESIDING JUDGE SMITH: [Microphone not activated]. You can see 6
- him on your screen when he speaks, not now. 7
- THE WITNESS: Okay. 8
- PRESIDING JUDGE SMITH: When he --9
- 10 THE WITNESS: Thank you.
- PRESIDING JUDGE SMITH: -- speaks. 11
- Examination by Ms. Tavakoli: 12
- Good morning, Mr. Duncan. Q. 13
- 14 Good morning.
- We've met before. My name is Nina Tavakoli, and I'm co-counsel 15
- for Hashim Thaci. Please, can you tell the Court your full name. 16
- My name is John Stuart Duncan. 17 Α.
- 18 And can you please tell the Court your date of birth.
- Α. 17 April 1958. 19
- Sorry, I've realised I've got to slow down already. We're 20 Q.
- speaking in English --21
- I apologise. 22 Α.
- -- and there is simultaneous translation. What is your 23
- nationality? 24
- French and British. 25

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- Ο. What is your occupation? 1
- I am retired. I was a diplomatic service officer for 38 years,
- former British ambassador and territory governor. 3
- And, please, can you give the Court a sense of the arc of your 4
- professional experience, the edited highlights if you like, about the 5
- types of positions you held in that 38 years, geographical locations 6
- and so on, so we can get a sense of your expertise. 7
- Certainly. It's a long list, I'm afraid, so I will try to be 8
- brief. 9
- 10 I served overseas in Paris twice, in Khartoum, in Albania, in
- Brussels twice, and in the Falkland Islands as governor briefly, and 11
- as governor for the British Virgin Islands in the Caribbean. My 12
- posts across that spectrum were heavily political and military 13
- 14 affairs. So I was in the delegation in NATO in 1993 dealing with the
- deployment of European police force in Mostar. I was involved 15
- previously in the deployment of European Community Monitoring Mission 16
- on the Albania-Kosovo border. 17
- 18 It's a long list. And I concluded with my political and
- military career in being ambassador for arms control and disarmament 19
- at the United Nations, based in Geneva and New York. I can amplify 20
- if you have any further questions, but it's a very long list, I'm 21
- afraid, for 38 years. 22
- Thank you. And when you say "political military affairs," what 23
- do you mean by that? 24
- 25 In the diplomatic service, we tend to have specialisations.

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Examination by Ms. Tavakoli

mine was naturally down that area because I had been in -- in my

- undergraduate degree, I had studied strategic affairs, so the theory
- of military and strategy. Then when I got into the diplomatic
- 4 service, I gradually moved into that area. So it's the area where
- 5 we're working with the military, covering the political implications
- of military operations, preparations, and, indeed, the wider
- 7 strategy.
- 8 So I was leading -- I was deputy head of department of what was
- 9 called Security Policy Department in 1998 on the first strategic
- defence review, which is what sort of forces do we need. But also I
- moved into a more operational context in my appointment as a
- political adviser to NATO's Supreme Allied Commander from 1998 to
- 13 2001.
- 14 Q. Thank you. Now, within that professional experience, you
- touched on it, did you have experience working on or in Balkan --
- 16 countries of the western Balkans, and if so, where?
- 17 A. Yes. I was in the Balkans more widely. As I said, I was
- involved in the deployment of the police operation in Mostar. But I
- was also the first British diplomat to go back to Albania after 46
- years of it being closed, and I set up the British mission there in
- 21 1992. Also was -- when I was in London as part of the security
- policy department responsibilities, I sat in on the meetings, because
- that was the height of the Bosnia war. So I had fairly extensive
- 24 experience of the Albanian and the wider Balkans problems.
- Q. And what year was that that you were sitting -- or years that

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- 1 you were sitting in the security department policy at --
- 2 A. That was immediately prior to deployment with NATO, so that was
- 3 in 1998.
- 4 Q. Thank you. And have you, over the course of your career,
- 5 received any awards or recognition for your work; and if so, what?
- 6 A. I got -- I was awarded the OBE, the Order of the British Empire,
- for my work in Albania. And I was awarded the NATO Kosovo Medal and
- 8 the US Army's Outstanding Civilian Service Medal for my work in
- 9 Kosovo.
- 10 Q. Thank you. And I'd like to now move on to your education. What
- institutions were you educated at from degree level and above?
- 12 A. So my degree was in international relations but specialising in
- strategic affairs, international law and EU law. I was also at the
- Sorbonne University in Paris prior to that. I have a diplôme de
- civilisation française. And I was also at the NATO Defence College
- in 1992, which is the staff college for NATO.
- 17 Q. How long did you study at the NATO Defence College?
- 18 A. It's a six-month course.
- 19 Q. And why did you attend that?
- 20 A. I asked to attend it. I was due to go to a posting in Brussels,
- and I asked to be one of the very few civilians that get to go to
- that course and go with mostly lieutenant-colonels and colonels who
- are going to be -- who are identified for promotion. And therefore,
- I asked to attend that, I was accepted, and it was a very good
- preparation for my subsequent career.

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- Q. Why did you think attending that would help you in your career?
- 2 A. Well, it would give me more practical focus and the opportunity
- for six months to work with military officers of senior calibre who
- 4 would be going on to future senior jobs and to draw on their
- 5 experience and to know them as people rather than simply to study
- 6 books, but actually talk to people who had served and who were
- 7 serving and were going to serve, so it would give me a background
- 8 which was, to my view, quite unique.
- 9 Q. Given your professional experience that you've just told the
- 10 Court about and your study at the NATO Defence College, would you say
- that you understand how militaries work and military concepts?
- 12 A. Yes.
- O. Now I'd like to move on, please. What were you doing between
- August -- where were you working between August 1998 until December
- 15 2001?
- 16 A. I was the political adviser -- the British political adviser to
- NATO's Supreme Allied Commander General Wesley Clark and his deputy
- 18 General Sir Rupert Smith. It was a position known as INTAF,
- international affairs. The military love their acronyms. And it was
- a role, for the Court perhaps to understand, that political advisers,
- known as POLADs, again an acronym, were very common in the Second
- World War. Only the United States continued with the process of
- having diplomats embedded with senior military commanders. The
- 24 British discontinued after the Second World War and then reinstituted
- the appointments in the Balkans war, in the Bosnia war, and I was the

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second person to carry out that function in strategic Allied

- 2 headquarters in Mons.
- Q. And did the United States have a political adviser within this
- 4 unit at this time, and if so, who was it?
- 5 A. It was my immediate superior, Minister Michael Durkee.
- 6 Q. Thank you. In brief, what did your role entail?
- 7 A. The role is essentially to advise military commanders on,
- 8 obviously, the diplomatic and political considerations which may
- 9 apply to a military operation, but to provide them with regular
- briefings on what's happening in the regional context, the
- international context, and to give them advice on how they should
- respond and how that might affect operations.
- Similarly, our role would be to provide briefings if they were
- meeting political leaders, as in the Kosovo conflict they met very
- frequently, so I would provide a briefing for the areas I was
- 16 responsible for. I would perhaps take notes and -- or generally, I
- would take notes, and I would usually do the first draft of the
- general's report back up to Secretary-General Solana on the meetings
- 19 that he had had.
- 20 Q. And which areas you were responsible for --
- 21 A. Given my --
- 22 Q. -- in the context of Kosovo?
- 23 A. Sorry. I'll speak slower.
- 24 Q. No, it's my --
- 25 A. Given my experience in Albania, I covered the Kosovar aspects.

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1 My colleague, Mike Durkee, who had been there some years before me,

- 2 had also a very good knowledge of Serbia matters. So essentially we
- 3 split Kosovo and Serbia between us, I doing the Kosovo side, he doing
- 4 the Serbia side. We did much wider meetings. So I would go to
- 5 the -- sorry, I would go with the generals when they went to Bosnia,
- for example, which we did fairly regularly to meet commanders, or,
- for instance, Portugal or other NATO allies, I would attend. We
- 8 split the world up -- gradually up basically on -- between us so that
- 9 we -- drawing on our own expertise.
- Q. And when you say you dealt with Kosovo issues, what specifically
- 11 did you deal with?
- 12 A. Pretty much across the board. I had built up an understanding
- of how Albanian society worked. It was my job when I was in Albania
- 14 to understand that. I was interested in it as well. This was a
- country which had been closed off for 46 years, so I made it my
- business to really try to understand how did this society work.
- It's the fundamental part of being a diplomat. Our job is to
- explain back to our capital what is this society, how does it
- 19 function, you know, what are the levers of power, how do you
- influence them, how might they be difficult to us. That's
- fundamentally what a diplomat does, to explain the complexities of a
- 22 society back to your capital. So I drew on that experience.
- But, obviously, there was another aspect, which was -- I'm sure
- the Court is aware of this, but the idea of a greater Albania had
- worried the international community for some considerable time, and

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when Albania came out of a dictatorship, that focused minds. And so 1

they wanted to know what was the relationship between Albania Albania 2

and Kosovo Albania. So, obviously, I had to look at that question 3

and talk to people and try and understand and advise people back in 4

my capital: Is this a reality, how might it happen, are there 5

differences between the Albanian Albanians and Albanian Kosovars, and 6

how do they think. 7

10

11

And how did you conclude that the Kosovan Albanians thought? Q. 8

Obviously, the Kosovar Albanians had been subject essentially an 9

apartheid during the Serbian -- Milosevic's era, where they had been

excluded from government, from the public service, and gradually, as

we saw, this ramped up into, essentially in the end, ethnic 12

cleansing. But they were quite different to Albanian Albanians. 13

14 fact, to my view, there wasn't a lot of love lost between the two.

This might be because, and I'm speculating, I appreciate, but it's my 15

opinion, Albania Albania is divided quite roughly into three areas: 16

The north, where it is as people understand Albania. Obviously, the 17

18 public, they talk about, you know, the blood feuds and clans and

things like that, and that is a dominant part of the history and the 19

culture of northern Albania. Middle Albania is slightly different 20

with a mix. And southern Albania is heavily influenced by Greece, so 21

a lot of ethnic Greeks in that part. So it's not a homogeneous 22

society anyway. 23

The Kosovar side of it, a lot of them had come from northern 24

25 Albania. So the concept of honour, the more -- how can I say? I

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think the blood feud, the kanun, as a basis to society, a way of

- thinking. So I -- to give you an example, I'm a Celt, I'm a Scot.
- 3 The first thing that we do -- and Bretons, also Celts, do when we
- 4 meet someone else is we try to find out who is your family, am I
- 5 related. And that's the way they think as well. It's a similar way.
- It's not that we're dominated by the fact that we have these
- 7 historical family links, but they're part of who we are. And that
- 8 was very much what I saw. I saw echoes of that process.
- When a society becomes under threat, those pressures and links
- become more profound. And you could see this in -- parts of the
- United Kingdom have had problems where blood is thicker than water.
- So if you are all family, okay, I trust this person because he's one
- of us, or she's one of us. That way of thinking was quite common,
- and I could observe it and I could understand it. If that answers
- 15 your question.
- 16 Q. Yes, it does. So going back to your specialism being Kosovo,
- 17 did it cover the Kosovo Liberation Army and the Provisional
- 18 Government of Kosovo or not?
- 19 A. Yes.
- Q. Now, in order to advise General Wesley Clark and the commanders,
- what sources did you draw on to give your advice?
- 22 A. A wide range of sources. Perhaps if I was, with your
- permission, to give an example of a common day during the conflict,
- it might explain what that was.
- 25 Q. Yes, please. That would be helpful.

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- Α. So an ordinary day for me would be focused on the briefing for 1
- General Wesley Clark at 7.30 in the morning. It would take me 2
- 45 minutes to drive down there, so I had to do quite a bit before 3
- that. 4
- What I would generally do, I would get up. The first thing, 5
- work-wise, I would go onto the internet and I would read all the 6
- information that I could find on the internet about what had happened 7
- in the past 24 hours politically and operationally, obviously, but 8
- mostly politically, that's what I'm looking at. 9
- 10 Ο. Is this in relation to Kosovo because --
- Α. Yes. 11
- -- that's what we're focusing on? 12 Ο.
- Α. Yes. 13
- Ο. Thank you. 14
- I would then listen to the 24-hour news, and then I would get in 15
- the car and I would drive down and I would listen to the BBC World 16
- Service as I drove down. When I got into the office, I would read 17
- 18 all the diplomatic cables over the evening that had been -- come
- overnight. I would read the monitoring services which might pick up 19
- other things that I'd not spotted, and I would read any intelligence 20
- assessments that had been made. 21
- We would then go into the meeting. I would brief General Clark. 22
- We would then go together into briefing our operational commanders by 23
- video-conference down in the field. Then throughout the day, I would 24
- 25 be looking at cables as they came in. I would probably be talking to

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1 people that I knew, either Albanians from my time in Albania,

- journalists, NGOs, think-tanks, various -- a wide variety of people
- 3 to get as much information as I could to put together the picture, as
- 4 it is. And I've -- as the Court knows, I'm a dyslexic, so I think in
- pictures and I think in patterns, so I would be putting together this
- 6 pattern of how -- what was happening, what were the dynamics, what
- 7 were the momenta happening during the course of the past 24 hours,
- and I would draw on that -- and the other thing I shouldn't forget to
- 9 say was that we would also talk -- Mike Durkee and myself, we would
- share that information before going to see General Clark. So he
- would have the US information and I would have the British source
- information and we would put that together so that we would go in and
- give him a complete picture of: This is what's happening, this is
- the decisive moments that you need to react to, these are the strains
- that you need to take into account. And then that process would go
- on throughout the day of me expanding those contacts of information
- and just keeping a rolling brief of how the whole situation
- 18 politically and militarily was operating.
- 19 Q. Thank you. I don't know if you can answer this, but did you
- 20 have insiders in the field giving you information?
- 21 A. I regret I cannot answer that question.
- 22 Q. Thank you. Now, did your understanding of Kosovan Albanian
- culture inform your understanding of the KLA?
- 24 A. Yes.
- 25 Q. In what way?

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- In a wide variety of ways. In general terms, we're talking, Α. 1
- rather than specifics. 2
- Q. Yes. 3
- In general terms, one could observe the family clan links. You 4 Α.
- could see the influence of Jashari. 5
- What do you mean by that? 6
- In that the key players, certainly in the early days, and I'm 7
- talking about August 1998, had links with a family or locality. They 8
- certainly had links with Jashari and you could spot it. When you saw 9
- 10 a profile of who were the key players, you could see Jashari's name
- popping up all over. So that was obvious, that that was a part of 11
- it. 12
- But they were a very heterogenous group of people, and they 13
- 14 came -- and they evolved.
- What do you mean by saying that they were "very heterogenous"? 15
- The composition of the major players, particularly as the ethnic 16
- cleansing accelerated, was very multi-faceted. So to give you a 17
- 18 concrete example, you had people who had been JNA regular soldiers,
- you had people who had been lawyers, you had people who had been 19
- doctors, you had people who had been journalists, you had other 20
- people whose families had been abused. So their motivations for 21
- taking up arms were quite distinct and different. Does that help? 22
- Yes, it does. And were there women fighters in the KLA? 23 Ο.
- There were indeed. They were often women who had been sexually 24
- 25 abused, and they -- for an Albanian woman to be sexually abused is a

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- terrible thing. It's -- you have to remember that it's -- we call it
- a Muslim society. It's not that Muslim. The Albanians used to
- describe to me that, you know, they were people who were Muslims who
- 4 would cross themselves when they went across the church. So it's not
- an Islamic society in the way that one sees in the Middle East.
- But the issue of honour was very, very important and is very,
- very important to Albanians, and for a woman to be dishonoured like
- 8 that would be a terrible thing. And so, you know, what was the
- 9 future going to hold for this woman? And they -- I met several of
- them in theatre, and they were quite remarkable people.
- Q. And when you're at SHAPE and when you're working with KFOR, how
- well did you feel that your international colleagues and the
- international community you'll have to define who they are -
- 14 understood this distinctness of the Kosovan Albanian culture?
- 15 A. It's always said that every army fights the last war, and this
- 16 was certainly something that I could observe, that in many cases
- people thought -- and I even heard one foreign minister say to
- General Clark, "Well, this is Bosnia, isn't it?" Well, of course,
- it's not Bosnia. It's a completely different conflict. The war is
- not about, you know, the Bosnians and the Croats fighting each other.
- It's an oppression by a state of an ethnicity which isn't a state.
- 22 So quite a different situation.
- The other problem, which we will perhaps come to later, was that
- the international community, media, public opinion, commentators, the
- military, diplomats, really did not understand the complexities of

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Albanian society. They thought of them as a -- to use a colloquial 1

- term, a hotbed of criminals. And to my mind, this was a very 2
- fundamental misunderstanding and would cause serious problem in -- I 3
- mean, it was basically untrue anyway, but it would cause a very 4
- unfortunate dynamic to happen. 5
- In my opinion and experience, the Albanians are a very 6
- resourceful and self-sufficient people, and the international 7
- community simply did not understand that sense of entrepreneurship, 8
- resourcefulness. And that resourcefulness, if it is not allowed an 9
- 10 outlet into legitimate business, will find other outlets. And the
- fact that Albanians were very effective criminals is not surprising 11
- because they are, by nature, a resourceful and self-sufficient 12
- people. 13
- So the answer to the problem is not just to clamp down. It is 14
- to find outlets for them to express that resourcefulness in a more 15
- productive manner. And if one has ever met, subsequently, years 16
- afterwards, Albanian businessmen, they are extremely good businessmen 17
- 18 and women.
- So this was the problem that I was confronting, was that the 19
- international community, as we have explained who they are, they had 20
- a very poor estimation, in both senses of the word, of the Albanians 21
- and the Kosovars. That was -- that's really not helpful if we're 22
- trying to deal with a people that we are going nominally in to 23
- protect. 24
- 25 When you were describing earlier -- just to be clear for the

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- record, you were talking about Albanians. Did you mean Albanian 1
- Albanians or Kosovan Albanians?
- Α. I meant Albanian -- ethnic Albanians. It is the nature of 3
- ethnic Albanians, whether they be in Kosovo or in Albania proper.
- So it applies to both communities? 5
- Α. Yes. 6
- And you mentioned earlier I think you did anyway this kanun. 7 Q.
- Did you mention that? 8
- Yes, I did mention the kanun. 9
- 10 Ο. Can you just briefly explain what that is and the extent to
- which that informed your understanding of the KLA, if at all. 11
- Kanun is very old and it's medieval lexicon which is based 12
- around honour. And it is really the same as the biblical eye for an 13
- eye, tooth for a tooth, it's the same idea. And it was very dominant 14
- in northern Albania. As I said earlier, when a society is under 15
- pressure, these old ways of behaving do tend to become stronger. 16
- So when I was in Albania in 1992, I visited the north, again, as 17
- 18 part of getting the European community monitors up there, and I
- talked to the police chief. And I said, you know, "It must be quite 19
- hard up here because, you know, it's quite a lawless part of the 20
- world." And he said, "No, it's actually quite easy. I don't have to 21
- do very much because they sort it out themselves. And you know what 22
- the kanun is." So that shows as an example of how something that's 23
- part of one's society, one's way of thinking, under pressure, will 24
- 25 become much stronger.

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So the idea of honour was very, very important to the northern 1

- Albanians and to the Kosovars as well. Theirs is a society under 2
- pressure, considerable pressure. Does that help with the question? 3
- It does. Thank you very much. 4 0.
- Α. Thank you. 5
- MS. TAVAKOLI: Now I'd like to play a video, please. Can we 6
- please bring up P02519. 7
- It should come up on your screen, Mr. Duncan. Q. 8
- MS. TAVAKOLI: And if we can just --9
- 10 THE COURT OFFICER: With transcript or not?
- MS. TAVAKOLI: No, I think the just the video is fine. Just 11
- play until I say stop, please. Thank you. With the sound up. 12
- [Video-clip played] 13
- 14 "I will date it since there's no date. 21st. Very well.
- you very much, indeed. 15
- "Thank you very much. 16
- "Good." 17
- MS. TAVAKOLI: Thank you. And can you stop the video, and it 18
- can come down. 19
- What is that video of, Mr. Duncan? 20
- Α. That is the signing of the UCK undertaking to demilitarise on 21
- the very early morning of 21 June 1999. 22
- And who did you recognise in that video? 23 Ο.
- The defendant, Mr. Thaci, General Jackson, the late 24
- 25 General Jackson, General John Reith, and myself.

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- Examination by Ms. Tavakoli
- Thank you. Ο. 1
- MS. TAVAKOLI: Actually, maybe we should put it back up so you 2
- can identify yourself. Sorry. I was too keen. If we go --3
- THE WITNESS: You can stop it there. 4
- MS. TAVAKOLI: If we can just pause it there. 5
- Can you just show us who is there. 6
- That was General Reith on the left, myself in the white shirt, 7
- General Jackson in the middle, Mr. 8
- THE INTERPRETER: Could the speakers please be asked to make 9
- 10 pause between question and answer and to speak more slowly so we can
- translate everything that you are you saying. Thank you. 11
- THE WITNESS: I do apologise. 12
- So on the left is General Reith in the red beret. I am there in 13
- the white shirt and the red tie. Then in -- the next person in 14
- camouflage uniform is General Jackson. And then we have with --15
- holding the paper, Mr. Hashim Thaci. 16
- MS. TAVAKOLI: Is it possible to take a screen capture of this 17
- 18 and then Mr. Duncan can mark it up, please?
- THE WITNESS: Do you like --19
- MS. TAVAKOLI: 20
- Yes. Could you just put the names by the people, please, and 21
- then we'll save that as an exhibit. 22
- Can I --23 Α.
- Yes --24 Ο.
- 25 Α. As a dyslexic, I will get the spellings all wrong.

Witness: John Duncan (Open Session)

- Examination by Ms. Tavakoli
- That's fine. Ο. 1
- Can I just put 1, 2, and 3?
- Q. Yes, that's fine. 3
- Okay. So for the record, this is 1, that is General John Reith. 4 Α.
- This is 2, that's myself. This is 3, it's General Mike Jackson. And 5
- this person here is 4, that is Mr. Hashim Thaci. 6
- Thank you. 7 Q.
- Α. Thank you. 8
- MS. TAVAKOLI: Your Honour, can I tender this as an exhibit 9
- 10 separately, please?
- PRESIDING JUDGE SMITH: The screenshot, any objection? 11
- MR. HALLING: None, Your Honour. 12
- PRESIDING JUDGE SMITH: The screenshot as marked will be 13
- 14 admitted.
- Please assign a number. 15
- THE COURT OFFICER: Your Honours, that will be assigned 16
- Exhibit 1D382. 17
- MS. TAVAKOLI: Thank you. It can be taken down. 18
- THE COURT OFFICER: Just a moment to save it, please. 19
- MS. TAVAKOLI: 20
- Now, please can you tell the Court why you were there. 21 Q.
- Yes. I was there because I wrote the undertaking. Α. 22
- Thank you. Now, where and when did the idea for the undertaking 23 Q.
- originate? 24
- The idea for the undertaking came out of my discussions with 25 Α.

Kosovo Specialist Chambers - Basic Court

Witness: John Duncan (Open Session)

Examination by Ms. Tavakoli

1 General Mike Jackson while he was negotiating the Kumanovo accord

- with the Serbs.
- 3 Q. And can you tell us what Mike Jackson said to you, what those
- 4 conversations were?
- 5 A. Yes. If I might explain why I was talking to him?
- 6 Q. Yes.
- 7 A. So as I described, Mike Durkee would cover Serbian issues and I
- 8 covered Kosovo issues, but we would also backfill for each other. So
- 9 while Mike Durkee was down with General Jackson in Kumanovo during
- that military technical annex agreement, I was back in Brussels, and
- my role was to follow what was happening in Washington and other
- capitals in order that -- because of the time differences, what kept
- happening was they would agree something, finish their discussions
- for the day, it would go back to capitals, and capitals, as they do,
- would start wanting changes. And those changes probably wouldn't
- 16 come down to Kumanovo until the following morning, and this was
- 17 causing real problems.
- So my job was to keep track of what was going on in particularly
- 19 Washington, with the biggest time difference, and other capitals, and
- talk to Mike Jackson in the evening and say, "Right. This is the way
- things are going, these are things you can expect to come and hit
- your desk first thing in the morning," so that he would be ready to
- be deal with those changes and not be surprised by them.
- Q. Just take a pause there for the translators.
- 25 A. Okay?

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Witness: John Duncan (Open Session)

Examination by Ms. Tavakoli

1 Q. Yeah.

2 A. In these conversations, General Mike Jackson said to me that he

was very concerned that he had no mechanism to deal with the UCK, who

were around about 20.000 guerrilla fighters. He was about to deploy

into Kosovo, and yet there was no mechanism similar to the Military

6 Technical Agreement which would allow him a framework in which to

deal with and have a relationship with the UCK. And both of us were

aware of the historic parallels of an army being sent in or being in

9 position ostensibly to protect the population and ending up fighting

them; notably, the Palestine mandate for the United Kingdom in the

1940s. So he asked me is there anything that we can do about this.

And I said to him that I had been in contact with my capital in the

preceding month, so in April and March -- sorry, that would have been

in May. I had been in contact with them, urging that we needed to

have a better understanding of how we were going to deal with the

16 Kosovo people and the Kosovo army, liberation army, and I had to say

to him that I was not making a lot of progress. I therefore said to

him, "I have a background in international law. I think I can put

together something that would suit your purposes. Would you agree?"

20 And he said yes.

Q. Did you have to get agreement from anybody else other than Mike

Jackson to begin drafting?

23 A. Not to begin drafting. It's the nature of diplomats to try and

24 anticipate what's going to come. But I did -- once I had a draft, I

had to get agreement in order to proceed.

Witness: John Duncan (Open Session)

- Examination by Ms. Tavakoli
- Ο. And when did you have a draft? 1
- I had a draft ready during those negotiations, so they ended on
- the 10th of June, I believe. 3
- I think on the 9th, was it?
- On the 9th. On the 9th. So my draft was ready on the 9th. 5
- That's correct. My draft was ready on the 9th. But I had been 6
- discussing it with my capital and the outline of what it would say 7
- and what it would do in the periods during -- while I was speaking to 8
- him in the evening, I would be speaking to them in the morning about 9
- 10 how this might work, what we would base it on. And I spoke to my
- capital and Washington. 11
- When you say your capital, who in your capital? 12 0.
- Sorry, I was -- who in my capital? 13
- Yeah, or which -- where is your capital? 14
- Well, my -- I meant the foreign office in London. 15
- Thank you. Now -- and then, who did you need to get 16
- authorisation from once you had a draft? 17
- 18 Α. Well, there are two aspects to your question. One is the legal
- mandate in order to engage in a negotiation, and that came from UNSCR 19
- 1244, which instructed the commander of KFOR to demilitarise the UCK. 20
- So that was the legal mandate. 21
- But there was also the political mandate to carry it out, and 22
- that I got from Washington, from London, and from Secretary-General 23
- Solana. Of course, I did the Secretary-General Solana part via 24
- 25 General Wesley Clark.

Kosovo Specialist Chambers - Basic Court

Witness: John Duncan (Open Session)

- So just so I understand, Security Council Resolution 1244, that Ο. 1
- authorises you to enter a negotiation? 2
- It authorises COMKFOR to do so -- or it gives him the legal Α. 3
- basis for doing so. 4
- And what about the Rambouillet agreement? Was there a 5
- relationship between that and what you were doing? 6
- Yes. The problem -- if I can digress into the legal problem --7
- Q. Okay. 8
- -- that was confronting us. There were various statements and 9
- 10 decisions at international level culminating in UNSCR 1244.
- Rambouillet is one. The G8 Statement of Principles is another. And 11
- the first two -- sorry, the Rambouillet talks about, and I can't 12
- remember the exact wording in detail, but it talks about paramilitary 13
- forces not being compatible with this agreement. The exact wording 14
- is --15
- Q. Yeah. 16
- I can't recall it immediately. 17 Α.
- 18 Q. Mr. Duncan, did you give a statement to us on 24 August 2025?
- Α. I did. 19
- Did you detail this --Q. 20
- I did. Α. 21
- -- in your statement? Q. 22
- MS. TAVAKOLI: Your Honour, please may I request, under 23
- Rule 143(1), to bring up the statement so Mr. Duncan can refresh his 24
- 25 memory of the specific --

Witness: John Duncan (Open Session)

Examination by Ms. Tavakoli

- PRESIDING JUDGE SMITH: Yes. 1
- MS. TAVAKOLI: -- legal provisions.
- PRESIDING JUDGE SMITH: Yes, go ahead. 3
- MS. TAVAKOLI: Thank you. So please can we bring up DHT11893 to 4
- DHT11909, and it is paragraphs 14 to 18. 5
- Mr. Duncan, if you would like to read those, and you can have 6
- them on your screen, and then you can answer my question. 7
- Thank you. That helps me a lot. Okay. Yes. This is much Α. 8
- easier, I hope, for the Court to understand. 9
- 10 So the Rambouillet Interim Agreement implies that the UCK would
- be disbanded because it says: 11
- "Paramilitary and irregular forces in Kosovo are incompatible 12
- with the terms of this Agreement." 13
- That's fine as a declaration of principle. It doesn't say how 14
- that is to be achieved. Then we get -- further on in the agreement, 15
- 16 it says:
- "... to establish a durable cessation of hostilities. Other 17
- 18 than those Forces provided for in this Chapter, under no
- circumstances shall any ... Forces ... remain within Kosovo without 19
- the prior express consent of the KFOR Commander (COMKFOR). For the 20
- 21 purposes of this Chapter ... 'Forces' includes all [persons] and
- organisations with military capability, including regular army, armed 22
- civilian groups, [and] paramilitary groups ..." 23
- I hope that's not too fast for the translators. 24
- 25 Q. I think they'll tell us if ...

Kosovo Specialist Chambers - Basic Court

Witness: John Duncan (Open Session)

- Α. Okav. So to --1
- Do you want -- maybe pause just to see. Okay. Go on.
- So, again, it's fine for a statement of overall intent, and it 3 Α.
- is fairly clear what is meant. But it doesn't say how is this going 4
- to be achieved. So we go down -- further down if we could. As I 5
- say, paramilitary forces and irregular forces were assumed by 6
- everybody to include the two main known organisations UCK and FARK. 7
- Can we go down to the UNSCR? 8
- MS. TAVAKOLI: Yes. Next page, please. 9
- THE WITNESS: Okay. 10
- MR. HALLING: I believe that's paragraph 21. 11
- MS. TAVAKOLI: Sorry. Thank you. That's helpful. 12
- THE WITNESS: Thank you. 13
- So we got to the UNSCR 1244, paragraph 9, which states that it's 14
- decided: 15
- "... that the responsibilities of the international security 16
- presence to be deployed and acting in Kosovo will include," so 17
- responsibilities will include, demilitarising the [KLA, Kosovo 18
- Liberation Army] and other ... Kosovo Albanian groups as required in 19
- paragraph 15 below." 20
- 21 So that gives the authority to COMKFOR to do that but doesn't
- tell them how to do it, and nobody had suggested how this was to be 22
- done, and that was his concern. 23
- The other paragraph, 22, is a slightly different point. Perhaps 24
- we will come back to that later. 25

Witness: John Duncan (Open Session)

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Examination by Ms. Tavakoli

Ο. Yes. 1

The problem or the challenge for us was that in a military operation you have the legal authority to do something, an act of 3 war, you declare war, you go to war. But in a peacekeeping operation 4 such as this or in Bosnia, you need something below that. In normal 5 senses, you have a treaty, you have implementing legislation. 6 military context, you have an authority to go to war. What you need 7 then is rules of engagement. And I spent a lot of time at SHAPE, as 8 part of my responsibility, arguing about what the rules of engagement 9 10 should say. And the rules of engagement tell the commanders under what circumstances can you use lethal force and how must you do that. 11 So to give you a concrete example, it will say something like: 12 If you see enemy forces in the distance, you may open fire. Or it 13 14 will probably say: You may not open fire until they fire at you. And then there is the other one in peacekeeping which comes up all 15 the time: You see enemy forces attacking civilians, you're not 16 allowed to do anything until they shoot at you. And that was, as you 17 18 all know, one of the major problems in the Bosnian conflict, that the rules of engagement did not allow the UNPROFOR troops to engage 19 effectively when they just saw something. They had to have it was in 20 self-defence. 21 So rules of engagement are given to the commanders and very 22

often will be cascaded down to ordinary soldiers, so that they will 23 probably have a little card, most often they will, which will say: 24 These are the rules of engagement, known as ROE, and you can only do

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Witness: John Duncan (Open Session)

Examination by Ms. Tavakoli

- this in certain circumstances. 1
- Now, this part was totally missing from the legal architecture 2
- of how to deal with the KLA. 3
- Okay. That's very helpful. And when you were drafting the
- undertaking, did you use any models? 5
- I did. Α. 6
- How did you go about that, in brief? 7 Ο.
- Yes, I'll try to be brief. The -- as someone who'd grown up in 8
- Africa, and I had worked on -- in areas of Africa in my professional 9
- 10 career, I was well aware of the way that the end of the Rhodesian
- conflict, the Lancaster House Agreement in 1979, there was part of 11
- that which dealt with demilitarisation of the guerilla armies, ZIPRA, 12
- ZANLA and the others. And so I asked somebody who had been involved 13
- 14 in the Commonwealth monitoring force how had that worked, had it be
- effective, and he said yes, it had been effective. It had brought 15
- the querillas in in a managed and controlled way, and they had been 16
- able to be reintegrated into society in various ways. It was then 17
- 18 retested in Namibia several years later.
- With that as my basis concept, I merged that with various parts 19
- of Rambouillet, various parts of the Serbian Military Technical 20
- Agreement, and with those documents I essentially created what became 21
- the undertaking. 22
- Thank you. Now, what was the overall aim of the undertaking? 23 Ο.
- It's quite -- the overall aim of it was to make a -- to allow a 24
- 25 controlled responsible demilitarisation of the KLA and FARK, and

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- allow COMKFOR to carry out his responsibilities under UNSCR 1244. 1
- That's the overall aim. There are lots of sub-aims to it, but that
- was the overall aim.
- MS. TAVAKOLI: Should we take a break before we get into the
- sub-aims? 5
- PRESIDING JUDGE SMITH: We'll give you a short ten-minute break 6
- at this time. 7
- THE WITNESS: Thank you. 8
- PRESIDING JUDGE SMITH: We'll come back then for another hour. 9
- 10 THE WITNESS: Thank you.
- [The witness stands down] 11
- PRESIDING JUDGE SMITH: We're adjourned until 10.10. 12
- --- Break taken at 9.58 a.m. 13
- --- On resuming at 10.10 a.m. 14
- PRESIDING JUDGE SMITH: Please bring the witness in. 15
- [The witness takes the stand] 16
- PRESIDING JUDGE SMITH: All right. Ms. Tavakoli, you may 17
- 18 continue.
- MS. TAVAKOLI: [Microphone not activated]. 19
- THE INTERPRETER: Microphone, please. 20
- MS. TAVAKOLI: 21
- You said before the break that there were a number of sub-aims 22
- or something. Can you take us through those? I think we have to go 23
- a bit more slowly because of the translation. 24
- 25 Yes, I wonder, with the Court's permission, if Your Honours

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- would mind pulling up my written evidence again, because it quite 1
- complex. And being a wholistic thinker is very helpful as a 2
- negotiator; it's not very helpful trying to explain complex matters 3
- to people who think a little differently. If that would be 4
- appropriate, I would really find it helpful, and I think the Court 5
- would find it helpful to have that section. 6
- PRESIDING JUDGE SMITH: Is there an objection? 7
- MR. HALLING: Not on this occasion, no. We understand this to 8
- be paragraph 31 of --9
- 10 MS. TAVAKOLI: Exactly.
- MR. HALLING: -- the statement. 11
- MS. TAVAKOLI: These two longer paragraphs. I think it would be 12
- helpful. 13
- 14 PRESIDING JUDGE SMITH: Just remember not to read from it. The
- idea is to look at it, refresh your memory, and then testify. 15
- THE WITNESS: Okay. 16
- PRESIDING JUDGE SMITH: All right? 17
- 18 THE WITNESS: Yes, sir.
- MS. TAVAKOLI: If we could bring up paragraph 31, please. 19
- THE WITNESS: Okay. 20
- MS. TAVAKOLI: And if you go to the bottom. Is that the end of 21
- that paragraph? Yeah, thank you. 22
- Now, what were the other objectives of the undertaking? 23 Ο.
- As I say, the overall objective was to provide a mechanism which 24
- 25 would allow us, essentially, have a way of controlling the process of

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demilitarisation, to allow that to be done in a controlled

- environment, with the agreement of the KLA. That would, in turn,
- ensure that they did not become the enemy. That was a primary
- 4 concern.
- 5 So there's a legal concern, a military concern. There was also
- a political concern. We wanted to be able to ensure that we did not
- leave Kosovo with a situation, which had pertained in other parts of
- 8 the Balkans, where the political structures were completely
- 9 intrinsically linked into police structures and military structures.
- So we wanted to divide the political, military, and police structures
- which we understood to exist to keep them separate. And therefore,
- we would have a basis for proceeding to a democratic society. We
- 13 also --
- 14 Q. Sorry, when you say --
- 15 A. Sorry.
- Q. -- you understood the political, military, and police structures
- existed, where did they exist?
- 18 A. They were disparate.
- 19 Q. I don't mean geographically. I mean --
- 20 A. Yes, I mean that in the sense that they -- there were "police
- forces" named as police forces. The extent to which they were
- 22 effective, the extent to which they were geographically distributed,
- 23 we did not know. But we knew of their existence. Obviously, we knew
- of the military part because we -- you know, we could see them. We
- knew who they were. And we knew of the political side, which was

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- obviously the people around Hashim Thaci. And also we knew there
- were other parts of the political spectrum, the LDK and others.
- 3 So we wanted to make sure that one part of the political
- 4 structure did not have an underpinning of a police and military wing.
- 5 Q. So --
- 6 A. That would not be a sustainable situation for the future of
- 7 Kosovo.
- 8 Q. So just to be clear, when you say you didn't want the political
- 9 structure to have an underpinning --
- 10 A. Yes.
- 11 Q. -- of a police and military structure wing, exactly what do you
- mean? What will happen to the political structure in your -- what
- was the aim?
- 14 A. Under the undertaking, they will be separated out.
- 15 Q. Thank you.
- 16 A. In terms of the handling the military, they would come under
- NATO control. They would be visible. The worst thing that could
- happen would be that the KLA fighters would disappear into the
- mountains, and then how would we have any visibility or control over
- them. This mechanism would provide a means of exerting compliance.
- 21 It would have benefits and sanction.
- 22 So you, military of Kosovo, agree to come in from the mountains,
- you agree to go to camps, you agree to give up your weapons, and in
- return we will find a role for you in society.
- That's exactly how the Namibia process worked and it was a model

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that I drew on. 1

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We also wanted to -- and, obviously, one of the immediate 2

concerns was to stop any scope for the KLA to continue fighting the 3

Serbs. Even though the Serbs had agreed to a cease-fire and had 4

signed up to the Military Technical Agreement, we had no mechanism 5

for ensuring that, so we needed to engage with the KLA to make sure 6

that they didn't do that and disrupt the peace process. The 7

undertaking was a means of doing that. 8

Another part of the undertaking was -- in terms of its encouragement was that in signing the undertaking, this would be a continuation of a process of the KLA moving, in international perceptions, from being a group of criminals, a group of terrorists, to being freedom fighters, to signing the Rambouillet accords. is another step towards democracy, being recognised as a responsible group of people and a responsible -- with a responsible political

So it was in -- that was in many ways what we were offering. 17 18 We're saying: Sign this. It helps us because we make sure we don't

end up fighting each other. But it also helps you, because if you

sign this, you will be showing the international community that you 20

are a responsible people and deserving of respect and, ultimately, 21

independence. 22

process above it.

Now, you said earlier, just now, "would come under NATO 23

control." What do you mean by that? Who would come under NATO or 24

what would come under NATO control? 25

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- Α. The former KLA fighters would come under NATO control, as they 1
- did. 2
- And why was that important? 3
- To give us visibility, to have the armed force capable of 4 Α.
- exerting sanction if required, and it would be legitimate to exert 5
- sanction because they had signed the undertaking. 6
- Which armed force? 7
- In this case, again, it's quite complex. To deconstruct it, the Α. 8
- KLA was, we knew, the biggest and most effective fighting force. 9
- 10 There were others, notably the FARK. But if the KLA signed the
- undertaking, the others would be ostracized on one side, but on the 11
- other side, they would have an inclination to join in because if you 12
- join the undertaking, you get respect. And, again, we're going back 13
- to honour and respect. 14
- So there would be a --15
- Sorry, can I just --16
- 17 Α. Sorry.
- 18 Sorry, I need to stop. I'm not sure about the translation.
- Your answer, when I said who would come under NATO control, you 19
- said -- it's recorded as saying: 20
- "The former KLA parties would come under NATO control ..." 21
- Is that correct? 22
- No. The military. 23
- The military would come under NATO control. And before we move 24 Ο.
- 25 on, can I just ask you did they come under NATO control --

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- Α. Yes. 1
- -- and if so, when?
- Immediately the process of demilitarisation process started. 3 Α. So
- they started coming into camps. The undertaking identifies the 4
- places where they are supposed to assemble, and they started to 5
- assemble. 6
- Thank you. Now, I stopped you. Sorry. You were saying that 7
- the FARK -- there were other fighting forces, the FARK, and so on. 8
- Yes. So the -- the other fighting forces, such as FARK, would 9
- 10 become -- would have an incentive to sign up to the undertaking as
- well, because they would want the benefit of respect and the 11
- possibility of jobs, which is part of the undertaking. It's a -- we 12
- couldn't actually go into what would be offered in terms of training 13
- 14 and what the UCK would become at that stage. It just simply was not
- possible for me to get international -- still [indiscernible], I 15
- couldn't get London agreement, I couldn't get Washington agreement, 16
- and I couldn't get inter -- still less international agreement to 17
- 18 decide what to do with them after they demilitarised.
- But it's integral to the text. If you read it, that's what it 19
- does. It postulates as going to be something. And I knew what it 20
- was. I had explained it to the commanders what it was. And it was 21
- drawn on the French, being French I knew about it, the Sécurité 22
- Civile, which is essentially a non-armed paramilitary humanitarian 23
- force which comes out when there are forest fires and natural 24
- 25 disasters, things like that. So I knew about this, Bernard Kouchner

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knew about it, but most people who don't have such forces had no idea

- what this was. And I could not, in the space of a few days, persuade
- people that this was a really good idea. It just wasn't going to
- 4 work. So I had to just do that as a subtext to it and allow the
- 5 momentum to work itself through.
- Q. Now, what were the key challenges, if any, that you faced in
- 7 drafting this undertaking?
- 8 A. Can we go down a bit in the evidence?
- 9 Q. I think that's just paragraph 32.
- MS. TAVAKOLI: Is Mr. Duncan able to look at paragraph 32 and
- 11 then testify?
- 12 PRESIDING JUDGE SMITH: Yes, go ahead.
- 13 THE WITNESS: Thank you, Your Honour.
- MS. TAVAKOLI: And then I think it goes on to the next page.
- THE WITNESS: Yes. Well, I know what the next page says.
- MS. TAVAKOLI: Okay.
- 17 THE WITNESS: A key flaw in my argumentation was of course --
- and relates to the point we just discussed. Namibia and Rhodesia had
- 19 armies. They had formal armies. So some of the guerillas from those
- campaigns were integrated into the army and became soldiers. This
- is, of course, what the KLA wanted to do or quite -- some of the KLA
- 22 wanted to do. But Kosovo was not a state, it did not have an army,
- and therefore there was a problem. There was a big challenge: Where
- are we going to put these people. Hence, my idea that we would put
- them into this thing very similar to the Sécurité Civile, which I was

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confident people like Bernard Kouchner and others would understand 1

what this was and would push for it because really there really 2

wasn't a lot of alternative. You couldn't make them all disband and 3

just go back to being civilians because they wouldn't do it. This 4

would not be peace with honour. So this was a major challenge that 5

we had. 6

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There were other challenges such as limited time. You know, I 7 got this cleared by Washington and London on 10 June. The Serbs were 8 going to be out of the country in ten days' time. This had to be 9

COMKFOR, Mike Jackson, who was authorised to carry this out, 11

done very, very fast indeed. So that was a big challenge.

could not possibly carry it out because he was dealing with the 12

Russian seizure of Prishtine, which I was heavily involved in

handling that, and he was obviously very heavily involved in handling

that. He also had to deploy all his forces, which is a major

military undertaking. So time was a very major challenge for us. 16

The other problem was the Kosovo Liberation Army, UCK, was not a 17

heterogenous force. As I described, it was -- it had become -- I

think the term we used was "the army of the dispossessed." That's

the word we used at the time. And when I went into theatre, that is

what I saw. I saw people who had a normal life, civilian life, being 21

doctors, lawyers, you know, all sorts of different things, and they

had taken up arms. So their aspirations were not the same. 23

And when you're negotiating with people, you have to try and you 24

25 understand what are their motivations, how did they get to where they

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are, what has pushed them to the place they're now in, what do they

- want, what are their aspirations, and what are their interests. And
- you have to use an understanding of those three things in order to
- 4 persuade people to do something. But their aspirations were not the
- same, their motivations were not the same, and they came from
- 6 different parts of society. And some of them were JNA and wanted to
- 7 be the former military.
- 8 The other big problem was the fact that the leadership of the
- 9 KLA as personified by and I choose my words carefully -
- 10 Hashim Thaci might not well be able to deliver the KLA fighters. We
- were not at all convinced that that would be the case. Had that been
- the case, we would have gone direct to him. We'd have gone to the
- 13 KLA political leadership in a classic way and said, "Right. We need
- a demilitarisation agreement. Let's start negotiating it."
- Now, as I said, timing was of the essence, and we had no
- confidence that Mr. Thaci would be able to deliver the fighters on
- 17 the ground.
- 18 Q. Can I just pause you there.
- 19 A. Yes.
- Q. I just want to go back slightly, sorry. Did you say that the
- 21 KLA was not a heterogenous force?
- 22 A. It was not homogeneous, no.
- 23 Q. Homogenous.
- 24 A. It was heterogenous.
- 25 Q. Okay. It was heterogenous.

Kosovo Specialist Chambers - Basic Court

Witness: John Duncan (Open Session)

- I beg your pardon. Α. 1
- Okay. Let's go back now --
- Α. And one final thing I must say --3
- Yes. Ο.
- -- about the political leadership. It was not tenable to do 5
- something that would cause Mr. Thaci to be recognised as the 6
- political leader of the Kosovars. It was not realistic because there 7
- were other political forces, such as the LDK, Mr. Rugova, and others. 8
- They may have chosen him as the leader of their delegation at 9
- 10 Rambouillet, but he did not have what in a democratic society we
- would consider to be political legitimacy. He had not been elected. 11
- He had self-declared as a provisional government. But we could not 12
- have a situation where something that NATO was supposed to be 13
- 14 endorsing was effective recognition of him as the prime minister of
- Kosovo. That was not realistic, did not reflect reality, and it was 15
- politically untenable. 16
- There's a lot in there, Mr. Duncan, that I'd like to go through. 17 Ο.
- 18 The first thing you said was "the leadership of the KLA as
- personified by and I choose my words carefully Hashim Thaci might 19
- not well be able to deliver the KLA fighters." What do you mean by 20
- "personified by"? 21
- It goes back to one of my earlier answers about the role of 22
- diplomats. It's very common in international politics for the 23
- international community and as we discussed, that's the media, the 24
- 25 politicians, and whatever the international community means - to want

Kosovo Specialist Chambers - Basic Court

Witness: John Duncan (Open Session)

Examination by Ms. Tavakoli

to have somebody with whom they can engage. And the media love this 1

- as well. The media want to say, you know, this is Nelson Mandela,
- this is, you know, whoever. There are many examples. That is not 3
- necessarily the reality in many, many cases. There are other levers 4
- of power. 5
- And as a diplomat, your job is to understand that complexity and 6
- to report it back to your capital and to use it in negotiating. You 7
- need to know who it is that actually has the levers of power. And a 8
- good example of this is more recent in Iraq. If you remember, we had 9
- 10 Chalabi who was supposed to be the leader of the Iraqi opposition,
- and he disappeared as soon as the invasion happened. This sort of 11
- thing is very common. And, indeed, in the Kosovo context, at the 12
- beginning Rugova was seen as the leader of the Kosovars. Could be be 13
- 14 a Malraux, an intellectual who translates into being a
- prime minister? That was shown not to be the case. They then moved 15
- to Mr. Thaci. He is a personification of the leadership of the 16
- Kosovars. We had serious doubts as to whether that was in any sense 17
- 18 a reality that we could count on and cause this undertaking to come
- into place. 19
- Why, in your words, did you have no confidence that Mr. Thaci 20
- would be able to deliver the fighters on the ground? 21
- According to our information, the real --22 Α.
- And can I pause you there. Where is that information from? 23 Ο.
- I cannot comment. 24 Α.
- 25 Q. Please keep going.

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Witness: John Duncan (Open Session)

- Α. The real power on the ground or in the structure of the KLA were 1
- the zonal or regional commanders, and this was confirmed to us when 2
- we met General Agim Ceku at the first meeting to discuss the 3
- undertaking. It is what he said to me. He said, "I cannot do this 4
- without the zonal commanders being present. You will have to come to 5
- Kosovo." 6
- And what you did understand by what General Ceku said? 7
- I took him at his word. He was very, very serious on this 8
- point. He said -- he said two things. First of all, he said 9
- 10 Mr. Thaci wasn't available, and that in order to do this he would
- need to consult Mr. Thaci. And then he said, "But I cannot do this 11
- until the zonal commanders are involved in the negotiations." 12
- Where did you have that conversation with General Ceku? 13
- Α. In Tirana. 14
- When? Do you remember? 15
- Yes, 14 June. Α. 16
- And when you -- he said he would have to consult with Mr. Thaci, 17
- 18 what did you understand that to mean?
- It would be perfectly normal. I understood it as a normal Α. 19
- expression, you know, that the political side of the organisation 20
- would have to -- well, the -- to put it in the reverse order. If he 21
- did this as a military commander without the political side being 22
- involved, it would not -- it would cause -- he would effectively 23
- disempower his political leadership. It would cause a split. So it 24
- 25 was quite normal for him to say, right, I need at some stage the

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Witness: John Duncan (Open Session)

- political side to agree or to be -- certainly be consulted. Whether
- it's to be formal approval, that was not clear.
- Q. And he said that he couldn't do it until the zonal commanders
- 4 were involved?
- 5 A. That's correct.
- 6 Q. What did you understand by that?
- 7 A. I think it's fairly clear.
- 8 Q. Yeah.
- 9 A. That he wasn't prepared to do a negotiation, us and him, the
- senior military commanders. He wanted them to be there. They had to
- 11 be there.
- 12 Q. And what did that lead you to understand about the KLA and how
- 13 it worked?
- 14 A. That the power lay -- that a major part of the power lay at the
- regional zonal level. That they had a fair degree of, perhaps,
- independence from the senior command. It would be quite complicated.
- In military terms, it was unusual. Our forces wouldn't insist on our
- regional commanders being present when we had a negotiation. It
- 19 would be the senior commander and another senior commander and their
- staffs.
- 21 Q. When you say "our forces" --
- 22 A. I mean NATO forces or British forces.
- 23 Q. And --
- 24 A. So it was an unusual thing for him to say, but I didn't -- I was
- not surprised by it.

Kosovo Specialist Chambers - Basic Court

Did the fact that you did not think that Hashim Thaci could

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- deliver the fighters on the -- how did that affect how you approached
- the negotiations, the fact that you did not understand -- did not
- 4 believe that Thaci could deliver the fighters on the ground?
- 5 A. It explains why we went to General Ceku and the zonal
- 6 commanders.

1

- 7 Q. When you say "we," who do you mean?
- 8 A. Well, myself and John Reith and our teams. And the approval of
- 9 Secretary-General Solana was to our plan. We said, "This is what we
- want to do, this is the best way to do it, " and he said, "Right. Go
- 11 for it. Go and do it."
- 12 Q. What did you think Ceku could deliver?
- 13 A. Well, it goes back to my answer about how NATO would have done
- 14 it. NATO would have had its senior commanders. So it would have
- been an agreement with, for example, General Clark and whoever the
- opposite side was. We wouldn't go down to the regional level. It's
- a way -- it's an understanding of a hierarchical structure against a
- hierarchical structure, how they deal with each other, and a
- 19 hierarchical structure dealing with a non-hierarchical structure.
- That's the challenge that we faced because they were not hierarchical
- structure. They were organised differently with power in different
- 22 places.
- So we could not just go and talk to Mr. Thaci and, you know, the
- rest -- and General Ceku and it would be fine. That wasn't going to
- work. We had to have the people who also had power, and they were

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- notably the zonal commanders. Does that explain it? 1
- Yes, it does. I'm just reading something you said earlier. 2 Ιf
- you give me a moment. 3
- You also said earlier, and this is at 39.3: 4
- "... we could not have a situation where something that NATO was 5
- supposed to be endorsing was effective recognition of him as the 6
- prime minister of Kosovo. That was not realistic, did not reflect 7
- reality, and it was politically untenable." 8
- Α. Yes. 9
- 10 Can you explain that, please?
- I'm not clear what you want me to explain. 11
- What do you mean when you say -- why couldn't NATO recognise 12 Ο.
- Hashim Thaci as the prime minister of Kosovo? 13
- 14 The NATO allies would not agree to that. They were not ready to
- recognise Kosovo itself, and they were certainly not ready to 15
- recognise Hashim Thaci as the leader of Kosovo. So that's why it's 16
- an undertaking. We could not have a bilateral agreement between NATO 17
- 18 and the Kosovar provisional government, which existed effectively in
- name only, but in political terms was not -- there was no state 19
- Kosovo. There was no government Kosovo. Therefore, NATO could not 20
- 21 have a bilateral agreement with a state that did not exist and a
- government that did not exist. Therefore, it had to be an offering 22
- by the Kosovars to us. That is why it is an undertaking and not a 23
- bilateral agreement. 24
- 25 Does that help?

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- Ο. It does. So what did NATO think about Hashim's -- so the fact 1
- that he is called the prime minister, what did that mean to NATO?
- Α. They didn't recognise it. 3
- Thank you. Now, you said that you met Agim Ceku in Tirana. 4 Ο.
- That was on 14 June; correct? 5
- 6 Α. Yes.
- Now, we saw you in the video next to a General Reith. 7 Q.
- Α. Yes. 8
- What was General Reith's role in the negotiations, and when did Ο. 9
- 10 you first meet him in this context?
- To explain. I had approval by London and Washington to 11
- begin a "undertaking negotiation," but it was to produce something 12
- that was an undertaking by them. So a unilateral undertaking. It's 13
- not a bilateral agreement. It's a unilateral undertaking. But I had 14
- to show them, I had to persuade them to sign up to a document that 15
- they'd never seen before, and I had to do it in seven days. 16
- I was an unknown quantity to the KLA. They had never seen me. 17
- My job was to be heard, not seen. So when I was asked, in fact, I 18
- was told, "Go and do it," I said this isn't going to work. I can't 19
- go as a diplomat, an unknown diplomat, I arrive at the -- with the 20
- KLA, a group of people who have just been fighting in the depths of 21
- the Kosovo mountains, and persuade them to do this. It's not going 22
- to work. We need a military commander to be the front man who will 23
- engage with them, have their respect. They will know who he is, they 24
- 25 will know what he's done, and they will engage with him. And John

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Reith, very kindly, although he was very heavily involved with the 1

- humanitarian crisis, that was his responsibility, the humanitarian 2
- crisis, all the refugees in Albania, he had done negotiation before 3
- in the Balkans and he said, "I'll do this." And so I flew down and 4
- briefed him on what needed to be done, and then I accompanied him 5
- throughout the whole process. 6
- Do you remember what night you briefed him on? 7
- Α. 13 June. 8
- And then the 14th your meeting Agim Ceku? 9
- 10 Α. That's correct.
- And then -- by the way, when you first met Ceku, was he 11 Ο.
- receptive to the idea of the undertaking or not? 12
- He was -- on the one hand, he came over as the leader of 13
- 14 victorious army. That's the way he presented himself. You know, "My
- men and women have fought the Serbs, you've helped us, but we won the 15
- battle." And he looked at what we showed him, and after a quick read 16
- he said, "I was expecting something like this. I think we can work 17
- 18 with this, but I need the zonal commanders." And some of it, he
- already said, "I've already done parts of this. I've told my people 19
- they're not to engage with the Serbs. I've issued, you know, 20
- commands to the zonal commanders not to engage with the Serbs, that 21
- they are to stand back. But let's discuss it further." And we had a 22
- further meeting the following day, and that's when he said, "I need 23
- to have my zonal commanders with me. Otherwise, we can't do this." 24
- 25 Q. And where was that meeting the next day? Do you remember?

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- There was a meeting the following morning. That's where he said Α. 1
- that. So his first briefing was on the 14th in the evening, and then 2
- the following morning we had -- the 15th, where he said, "We've got 3
- to have the zonal commanders here." 4
- And was that in the same location or a different location? Q. 5
- It was the same location. Α. 6
- Was Hashim Thaci at that meeting? 7 Q.
- Α. No. 8
- So Ceku has said he can't do this without his zone commanders. Ο. 9
- 10 Did you offer him a meeting with the zonal commanders present?
- John Reith offered a meeting with the zone commanders present, 11 Α.
- much to my surprise. 12
- Why were you surprised? 13
- Because it was to take place in Kosovo in an area still occupied 14
- by the Serbs and I was a civilian. 15
- And what did you feel about that? 16
- I was surprised. As I said to John Reith at the time, "You have 17 Α.
- 18 life insurance. I do not. You're proposing to take me into a combat
- zone without even life insurance, and, you know, that causes me a 19
- little bit of difficulty." I didn't say no. I just said we have to 20
- do some things before we go. 21
- Ο. And when did that meeting happen? 22
- The 17th. 23 Α.
- What time -- when did you arrive at that place? 24 Ο.
- 25 Α. At night, we were taken over the mountains into Kosovo. And we

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- had to do it at night because the Serbs were still occupying that 1
- part of Kosovo. 2
- Can you remember that journey? 3
- I most certainly do. Α.
- Q. And can you tell the Court? 5
- We were taken by helicopter, flying through the mountains and 6
- then through the firebreaks of the wooded areas at 50 feet, and it 7
- happened to be an electrical storm while we did it so that added to 8
- the fun. 9
- 10 Ο. Now, I want to show you a video, please.
- "Fun" is not the way I would describe it. 11
- Ο. Yeah. 12
- I was being ironic. 13
- MS. TAVAKOLI: Can I show you a video. It's Exhibit 1D00287. 14
- And can we put it forward to 03:02, fast-forward it to there. Can we 15
- play this until 05:48. 16
- And then I'm going to ask you some questions about it. 17
- [Video-clip played] 18
- "... they will confiscate the weapons if they're seen in the 19
- towns with weapons, and then that will then lead to a confrontation. 20
- 21 That's the difficulty. Okay? And that's what I'm trying to
- [Overlapping speakers] ... 22
- "[Indiscernible] tomorrow evening rather than Saturday morning. 23
- It is purely the fact that we will be under political pressure, and 24
- 25 they will be pressing all the time for more details [Overlapping

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Page 27058 Examination by Ms. Tavakoli

- speakers] ... 1
- "Everything that -- a deadline was fixed. It's Saturday evening 2
- or afternoon [indiscernible]. 3
- "Can -- if we can't meet tomorrow evening, I would like if you 4
- can do -- meet on Saturday morning. 5
- "Okay. 6
- "10 -- 10.00 or something [Overlapping speakers] ... and we will 7
- come for you here? 8
- "Yes. 9
- 10 "Okay.
- "Maybe in Prishtine if you agree with [indiscernible] ... 11
- "I want to try and keep us out of the media's eyes for the 12
- moment [indiscernible] ... undertaking and it is seen as you coming 13
- 14 forward and saying to the international community, 'We are doing
- this, we' -- you know. So it's better that we keep a low profile at 15
- the moment. 16
- "What I -- the final thing I would say to you is that if you 17
- 18 feel that we can discuss earlier than 10.00 on Saturday, I can come
- whenever you'd like me to. [Overlapping speakers] ..." 19
- MS. TAVAKOLI: Stop, please. Can we stop. Thank you. 20
- Q. What is that video of, Mr. Duncan? 21
- That's a video of the discussions on 17 June 1999 in Kosovo. Α. 22
- And did you recognise anybody in the video? 23 Q.
- Well, there's me, there's General Ceku, there's John Reith. The 24 Α.
- 25 zonal commanders, Ramush Haradinaj. I think Remi is there, the --

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- whose name I can't remember, there's a guy who's from their police 1
- structure, all the zonal commander are there.
- Do you know how the zonal commanders got to that meeting? 3
- Α. No.
- And do you recall how they were dressed? Q. 5
- Well, you can see there they're all in combat gear. I think 6
- with memory, one of the thing that strikes you is the smell. 7
- smelt of combat and that smell of fire and smoke and cordite and --8
- it was quite an impressive moment. 9
- 10 And can you give us the headlines from that meeting?
- Yeah. It was probably not unexpected. They took a lot of what 11
- we said on board, a lot of detail that they provided. They agreed 12
- the assembly points with us. From the military perspective, it was 13
- very constructive. The political wing, I think it's, sorry, 14
- Mr. Haliti or one of the defendants here, they were very difficult. 15
- They kept trying to put in language which would give implicit 16
- recognition to the provisional government, and we had to keep batting 17
- 18 that back, so -- I mean, with some success at that meeting so that
- the text was left pretty much as I had drafted it but with a lot of 19
- administrative detail put in. 20
- So it was a constructive meeting. But their attitude was, 21
- overall, you know, they were very reluctant to do it because they 22
- felt, you know: We won the war, and we want to disarm with honour. 23
- If we've got to disarm, we want to disarm with honour, so we want 24
- 25 this to be done properly.

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1 There was a strong feeling that -- you know, that this was

- something that was going to be difficult for them, and that honour
- as, you know, of victorious soldiers fighting to protect their people
- 4 had to be respected. It was a very strong sentiment that came out of
- 5 it.
- Q. You mentioned Ramush Haradinaj being in the room. Was there
- 7 anything -- how was he dressed?
- 8 A. Ramush is quite a character. He was almost Rambo-esque. He had
- 9 a grenade down one pocket. He had a combat knife strapped to his
- 10 arm. Yeah, he was quite a sight. He was quite vocal.
- 11 Q. Overall, what did you understand, if anything, about the KLA and
- how it was organised and its hierarchy from that meeting?
- 13 A. It completely reinforced what General Ceku had told us. You
- know, that these were serious players, and they had to be included in
- anything that was going to be negotiated. And they were quite -- I
- mean, the language difficulty you saw, we had to have translation.
- 17 Very few of them spoke English. Haradinaj spoke some English, but
- most did not. And that, nonetheless, it was very clear that, you
- know, these were the people that we needed to be speaking to.
- Q. And when did -- were there discussions about what became
- 21 paragraph 25 of the undertaking at that meeting or not?
- 22 A. The political side did try to put in something like that. It
- was essentially the statement that the KLA political leadership had
- 24 made before.
- Q. And who was the political side at that meeting?

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- Α. I'm trying to remember if it was -- I think it was the 1
- defendant. 2
- Which defendant? Q. 3
- Names, I'm a dyslexic. 4 Α.
- The defendants here are called Thaci, Kadri Veseli, 5
- Rexhep Selimi, and Jakup Krasniqi. 6
- Ah, that's a good point. I think it was -- I'd have to refresh 7
- my memory. I'm sorry. Names are awful. 8
- Okay. Doesn't matter. If you can't remember, that's fine. Ο. 9
- 10 Now, on the --
- It was the person who gave all the statements in the -- you 11
- know, the political statements that were made by the KLA were usually 12
- given by Krasniqi. There we go. It was Krasniqi. 13
- 14 Thank you.
- I think. 15 Α.
- Now, can I keep pressing play for this next bit in the video, 16
- and then I'll ask you a question about this. Watch this and tell me 17
- what this is afterwards. 18
- Thank you. Α. 19
- [Video-clip played] 20
- MS. TAVAKOLI: Stop. Thank you. 21
- Now, the date stamp on that section of the video and the section 22
- of the video we played before of the meeting were the 17th of the 6th 23
- 1999. Were they correct or not? 24
- 25 A. I believe so.

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- What was that piece of the video showing? Ο. 1
- That was a -- a very important part of any negotiations in the 2
- Balkans is the moment of breaking bread afterwards. So the KLA side 3
- offered us dinner in a tent in the woods literally in the woods -4
- where we all sat down, and after we'd finished the formal 5
- negotiations we broke bread. And it was a moment when we actually 6
- realised that we were -- we were in business. And we were able to 7
- reassure them about our credentials, that, you know, NATO wasn't 8
- going to -- this wasn't about NATO getting rid of them. It was about 9
- 10 NATO being a good ally, helping them to disarm with honour, and
- hopefully find something in the future. 11
- I may well at that stage -- and it's so long ago, I can't 12
- remember. I may well have talked to them about the Sécurité Civile 13
- 14 model as a possible model, and we could speak frankly and say, you
- know, "Your idea of having a national guard is never going to run. 15
- You can't have that." It's the informal part of the negotiation, a 16
- very important part of a negotiation in the Balkan context. 17
- 18 And was it the same people at the dinner that were at the
- meeting? 19
- Pretty much, yes. 20 Α.
- Q. Thank you. Now, on 18 June, what happened then? Do you 21
- remember? 22
- Yes, I'm just trying to put it into the right order. If I am 23
- correct, we had to do certain things. We had to talk to the UN. We 24
- 25 had to keep them briefed as to what we were doing. And we also had a

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- 1 problem. So let's deal with the UN first.
- So we briefed General Jackson, who, in turn, briefed Sergio de
- Mello, and we explained to them where we were, what we were doing,
- 4 how far we'd got, prospects for success. They wanted much more, and
- we had to say to them this is not tenable. They wanted at one stage
- to have a weapons-free zone in Kosovo, and we said, you know, this is
- an Albanian Balkan society, you can forget it. That's never going to
- 8 happen. This is your only realistic way of doing this. And that's
- 9 the last we heard of it. But, you know, they were told where we'd
- 10 got to and what we were doing.
- 11 Then we had a problem with a separate discussion going on on the
- US side and that we managed to diffuse. That was involving
- Mr. Rubin, Jamie Rubin, but to what extent I really don't know. And
- we persuaded him to come up to us and join us the following day.
- 15 Q. Do you know anything about that problem? Can you expand any
- more than what you've said or not?
- 17 A. It's classic of American diplomacy to be going off and doing
- something separate. I'm sorry to take that with humour, but it is
- something that, as a professional diplomat, throughout my career I've
- often found the American administration, the famous interagency --
- you know, not -- getting to an interagency agreement, but suddenly
- finding that one agency is going off and doing something else is very
- common. So it didn't surprise me that this had happened, that there
- was a parallel negotiation going -- or attempt at negotiation going
- on. But they were far -- clearly much further back than we were,

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- because we were already -- we had a text, we were working at it, we
- 2 had agreement in principle and with some detail with the KLA, and,
- therefore, we asked them to come and join us.
- 4 PRESIDING JUDGE SMITH: Ms. Tavakoli, it's time for the break.
- 5 MS. TAVAKOLI: Yes. Yes, thank you.
- PRESIDING JUDGE SMITH: We have a regularly scheduled half-hour
- preak at this time, so we'll see you back here at 11.30.
- THE WITNESS: Thank you very much, Your Honour.
- 9 [The witness stands down]
- PRESIDING JUDGE SMITH: We're adjourned until 11.30.
- --- Recess taken at 11.02 a.m.
- --- On resuming at 11.30 a.m.
- PRESIDING JUDGE SMITH: Please bring the witness in.
- [The witness takes the stand]
- PRESIDING JUDGE SMITH: All right. Ms. Tavakoli, you may
- 16 continue.
- MS. TAVAKOLI: [Microphone not activated].
- Q. Mr. Duncan, now moving to 19 June. Was there a meeting on that
- 19 day?
- 20 A. Yes. We didn't finish my answer to the 18th June.
- Q. Okay. Please finish what you wanted to say.
- 22 A. Yes, there were two other issues which are important for the
- Court to appreciate, I think. Firstly, we asked the UK Foreign
- Minister, Mr. Robin Cooke to contact Mr. Thaci and urge him to
- support the agreement which was being negotiated in Kosovo.

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1 The second thing was a major problem that we had with, you

2 mentioned, paragraph 25. Paragraph 25, as I said, was originally put

forward by the KLA side in a way which would have given implicit

4 recognition to the provisional government. Obviously, that was, as

5 we said to them, unacceptable and we could not agree it. Therefore,

6 General Reith and myself redrafted it.

If you want to put up an example of paragraph 25, I can tell you

8 which is our wording and which is their wording. That's up to you.

Anyway, we put it to the Secretary-General and to General Wesley

Clark, and they wanted it removed. And we had to say to them,

"Right, the option is a bilateral agreement and you can get it

removed, or a unilateral undertaking and you have this. And it is a

reasonable step for something that is supposed, presentationally and

publicly, to be a unilateral declaration by the Kosovo Liberation

15 Army for them to have this phraseology as amended." And after a lot

of argument, they eventually agreed that that was a reasonable

position to adopt, and they agreed to support us in taking it forward

in that way.

10

19 That was what happened on the 18th.

20 Q. Thank you very much. Now moving to the 19th.

21 A. Yes.

Q. Was there a meeting on that day?

23 A. There was. The Serbs had moved out of that zone. Therefore, it

was possible to fly in during the day. We had, by then, been joined

by the US team who had been conducting their own discussions with

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Witness: John Duncan (Open Session)

Examination by Ms. Tavakoli

1 Mr. Thaci, which Mr. Rubin talked about in his evidence, and so we

- went as a joint team, accompanied by Jamie Rubin, Shaun Byrnes, and
- 3 Larry Rossin, and we flew in during that -- that morning.
- 4 Q. How long was the meeting?
- 5 A. It went on all day.
- Q. All day. What was the status of the draft undertaking at the
- 7 time Mr. Thaci arrived? Like, how far advanced were you?
- 8 A. Well, we'd got past the practical details, which were sort of
- 9 the logistics, where they would assemble, et cetera, and most of that
- day was taken up with efforts by Mr. Thaci and Mr. Krasnigi to insert
- political language which would lead -- you know, implicitly give them
- recognition and have NATO give them recognition. So we spent a lot
- of time a lot of time discussing that.
- 14 Q. And what was your and NATO's view about what they wanted?
- 15 A. Unacceptable.
- 16 Q. And why was it unacceptable?
- 17 A. It would have given them recognition. It would have also
- distorted the nature of the undertaking, what was the undertaking
- intended to do, and confused it.
- Q. Now, was there anything memorable that happened at that meeting?
- 21 A. Depends what one means by "memorable." For me, yes. I
- interrupted the discussions and revealed my hand and what I was
- doing. As I explained, my job was to guide General Reith. I was the
- 24 author of the declaration. I was a diplomat. I knew what the
- diplomatic community would agree to. And so, you know, he did the

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talking, but we talked a lot about it first. When we had a problem 1 like 25, we discussed it together and came up with the right clauses. 2 At one point during the discussions, the situation was getting 3 out of control. Mr. Thaci and his political advisers were pushing so 4 hard for the inclusion of language which would have been unacceptable 5 that I stood up and asked all the zonal commanders to leave the room 6 and asked for a separate meeting with Mr. Thaci, Mr. Ceku -- General 7 Ceku, Jamie Rubin, General Reith, and myself. And to my amazement, 8 everyone got up and walked out of the room. The reason, I discovered 9 10 later, was they perceived me as a political commissar. Jamie Rubin talked about communist think. And I was, as you see, dressed very 11 like a political commissar, and therefore -- they are not people in a 12 communist society that you ignore. If they tell you to do something, 13 14 you do it. And that's what they perceived me as, and, therefore, they all got up and went out of the room. 15 It caused a lot of trouble that I did that, and I had to -- in 16 the same video I think you have seen of me talking to Mr. Haradinaj, 17 18 trying to placate him over why I had done that, and the reason I did it was so that I didn't have a situation where a whole lot of people 19

independent political and military side and not have that argument

were arguing and coming up with proposals. I needed to have a core

team who I could talk frankly with and let them cascade that to their

out in public.

20

21

And I talk very, very frankly. And in that intervention, I said to them, "You started out as terrorists in most public perception.

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1 You have moved up that path," and I drew it out in a diagram. And I

- said, "You've moved up that path. You've signed Rambouillet. You
- have shed blood. You are now perceived possibly as freedom fighters.
- But you are not a government, you are not a state, and that's where
- 5 you want to go. If you want to go up to that point, you have to sign
- this undertaking as it stands and stop putting stuff into it."
- 7 And Mr. Thaci said nothing. General Ceku took my diagram, and I
- later saw him showing it to the zonal commanders and doing what I
- 9 wanted him to do, which was to persuade them to agree and remove
- their objections.
- 11 Q. And what did you understand from the fact that Ceku took the
- 12 diagram outside to the zonal commanders?
- 13 A. That they had to agree.
- Q. Now, can I just show you another bit of the same video, please,
- which is 1D00287. And I just want to play the beginning up to date
- stamp -- so 00:21 -- just play it from the beginning, and we stop it
- 17 at 00:42.
- 18 [Video-clip played]
- MS. TAVAKOLI: If you could just pause it there, please.
- Q. What is this showing, Mr. Duncan? And, firstly, is the date
- stamp on that video correct?
- 22 A. No.
- Q. What date should it be?
- 24 A. That should be the 19th.
- Q. How do you know that?

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- Α. Because it's taken in daylight. 1
- And what is that showing?
- That is showing what I just mentioned. After I had interrupted 3 Α.
- the negotiations, I went out, and I could see that Ramush Haradinaj 4
- was extremely irritated by what I had done, and so I personally went 5
- and spoke to him. And that's me explaining to him, you can see by my 6
- hand gestures, why -- I had explained the same things I had explained 7
- to General Ceku, and why they had to -- they should agree this 8
- because it was in their interests. So as I said, I understood their 9
- 10 aspirations and their motivations, and this was in their interests,
- and it was important that they should agree it as it stood. And you 11
- can see he was not very happy. 12
- And what is he wearing there? What does he have on him? 13
- Α. "Him"? 14
- Ramush Haradinaj. 15
- Yeah, he's got -- he's taken his knife off his arm and stuck it 16
- into his flak jacket. I don't see any grenades today. 17
- 18 MS. TAVAKOLI: And can I note for the record that we've stopped
- the video at 00:39, and that is the part that Mr. Duncan is talking 19
- about. 20
- Q. What was the ultimate outcome of that meeting on the 19th? 21
- The text was pretty much agreed. It was almost -- it was pretty 22
- much in its final version. And we then sent it to NATO for it to be 23
- put before the North Atlantic Council for their agreement. Even 24
- though it's a unilateral undertaking, it's not "a bilateral" 25

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- discussion, we had to get -- we couldn't really have a situation
- where it was offered by the KLA and then some NATO ally said, "Oh,
- this is unacceptable. We can't accept it." So we had to get their
- 4 agreement.
- 5 Q. And do you remember when you sent it?
- 6 A. It would have been that evening.
- 7 Q. And did you get agreement?
- 8 A. We did eventually. There was a lot of problem over the
- 9 signature block and that was essentially one of the last stumbling
- 10 blocks. It was from -- I wasn't there. I --
- 11 Q. Sorry, can I pause you there.
- 12 A. Sorry.
- 13 Q. Which part of signature block?
- 14 A. The signature block saying, "Accepted by COMKFOR
- 15 General Jackson."
- 16 Q. So the KFOR part of the signature block?
- 17 A. Yes.
- 18 Q. Carry on, please.
- 19 A. And eventually that -- the compromise that was agreed with the
- North Atlantic Council was that it should be "received by,"
- therefore, language that does not imply any sort of recognition, and
- that's how it was changed. And that how it was put to the KLA side
- on the 20th, and there was further discussion just before the
- signature to explain why it had been changed.
- Q. Can you recall at all what different governments thought about

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that side of the KFOR side of the signature block or not?

- 2 A. In detail, no, I was not present. But I was getting reports
- from London that there was quite a lot of opposition, and that the
- 4 Americans used quite a lot of pressure to make sure that all the
- allies agreed. I mean, naturally one would assume that other nations
- such as Greece would not be particularly -- they would be
- 7 particularly concerned about what we were going to do in a country
- which was bordering them, so -- but there were others who were still
- 9 in the mindset of: These are terrorists and we shouldn't be
- negotiating with terrorists and we shouldn't accept this at all.
- 11 There were others who were concerned about, you know, what was the
- future going to be. This was a peculiar operation where we had --
- you know, we had a -- successfully concluded military campaign and
- 14 yet we had no idea what the outcome was going to be. You know, was
- it going to be a state, was it going to be a UN-mandated territory,
- or was it -- it was still in some state of confusion.
- 17 Q. What date was the undertaking signed?
- 18 A. It was signed at ten minutes past midnight on the 21st.
- MS. TAVAKOLI: Thank you. Can I bring up, please,
- Exhibit P01444, and can I go to the final page of that document.
- 21 Maybe if we go to the first page first and then to the final page.
- Thank you. And then can we go to the last page, please. Pan down.
- 23 A bit further. I want to see the signature block. Thank you.
- Q. Now, what is that a picture of, Mr. Duncan?
- 25 A. That's the final page of the undertaking.

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- Ο. Now, I want to focus on the KLA side --1
- Mm-hmm. Α.
- -- of the signature block. Was there a signature block on the 3
- first draft? 4
- Α. No. 5
- What date was the first draft? Do you remember? Ο. 6
- It would have been 10 -- the first draft that I completed and 7
- got agreement to was on 10 June. 8
- Why was there no signature block on the first draft? Q. 9
- 10 Α. Because I was concerned that if we put things in like signature
- blocks, we would get into a circular argument which would take us 11
- away from what the primary purpose of this document was. So when we 12
- gave the first version to General Ceku, it had no signature block on 13
- 14 it. I wanted them to focus on what this document was saying, not who
- it was signed by. 15
- When did a signature block first appear? Q. 16
- I think 17th or 18th June. 17 Α.
- 18 Q. And what was in that signature block?
- That was for signature by General Ceku on behalf of the UCK. Α. 19
- And why did it have Ceku's name? Q. 20
- For practical reasons. He was the person we were negotiating 21
- with. And we'd had no -- on the 17th, 18th, Mr. Thaci had not been 22
- directly involved in the negotiations, and, therefore, you know, it 23
- didn't -- we'd been told he was not available. Therefore, we put it 24
- in the name of General Ceku. 25

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- Now, we see here that the signature block has the name of 1
- Hashim Thaci and there's a title. I want to go first -- I want to 2
- ask you questions first about his name and then secondly about the 3
- title. 4
- So how did Hashim Thaci's name come to be in this document? 5
- As I recall, this came -- the change was done in the discussions 6
- of the 19th. 7
- And who proposed that change? Do you know? Q. 8
- I don't recall. And it was a very long day. You know, it was 9
- 10 quite tense. I didn't allow anyone to have any lunch, so it was --
- there was a lot happening on that day, and I really don't remember 11
- who put that in, who changed. 12
- Do you know why it changed? 13
- No. I mean, it came from the KLA side. They said, "We want 14
- Hashim Thaci to sign it." 15
- Did the international community, particularly the United States, 16
- perhaps the Brits, have a view on who should sign on the KLA side? 17
- 18 No, it didn't really work like that. We did the work, put it
- back to them. It was not a basis of, you know: They sent 19
- instructions, this is what you're going to do. You know, the whole 20
- process was almost in reverse. After all, if you remember, I drafted 21
- this thing. It didn't come to me from London, saying: You should go 22
- out and negotiate and this is what it should say. I wrote it and put 23
- it back to London and said, "Right, this is what I think we should 24
- do," and they said, "Yes, go for it." So there wasn't an instruction 25

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saying we want -- not -- certainly not at that level of detail. 1

- Did you mind that now it was being signed by Thaci? Ο.
- Α. No. 3
- Why not? 4 Ο.
- What was important to me was that we had the full engagement of 5
- the Kosovar side, in particular the military. If they asked for 6
- Hashim Thaci to sign it, that was okay, because it didn't work like 7
- that. The whole process here is about getting them engaged, as, 8
- again -- I state it again, understanding their motivations and what 9
- 10 they wanted. You know, the long term desires. Once they were
- properly engaged in it, if they signed up to it, the nature of the 11
- people that they were Albanians with a sense of honour and the 12
- fact they had all been engaged in it, you know, all the zonal 13
- 14 commanders had been engaged right from the beginning of our
- discussions, they would feel honour bound to agree to it, but also 15
- they would get something out of it. 16
- You know, they understood that this was -- this is disarmament 17
- 18 with honour. They were being recognised. They were going to get
- engagement with international organisations, notably NATO. They were 19
- being recognised for their effort, and there was a prospect of 20
- 21 something that might lead to their independence in the fullness of
- time. 22
- So understanding those motivations which are embedded in this 23
- meant that I didn't really mind who signed it. It was not important 24
- 25 because the process itself would be a momentum which would lead us to

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where we wanted to go. 1

- You used the word "they" a lot in your last answer. Who is 2
- "they," just for the record?
- We are talking essentially about the military wing. Α.
- Thank you. Now, let's move to the title. Do you know who came Q. 5
- up with the title "Commander-in-chief UCK"? 6
- Α. 7 No.
- Do you know why it was used? Q. 8
- Since I don't know who came up with it, I can't give you a 9
- 10 complete answer. I can tell you what I thought at the time, and to
- my mind this was a very typical, slightly overinflated, almost 11
- grandiose, title that guerrilla armies and leaders often use. From 12
- my own personal experience, I remember in the African revolutionary 13
- movements that happened in the country that I grew up in, the 14
- querillas were given names such as "General," you know, and they had 15
- a couple hundred people under their command. Even in France in --16
- where I live for a lot of the year, the resistance leaders would say, 17
- 18 "Well, this is the battalion from so-and-so." It's not a battalion,
- it's 300 people. 19
- So the tendency to overinflate the title is something that did 20
- not surprise me in the slightest. I didn't take it particularly 21
- seriously. I did wonder whether, you know, it was something that 22
- came from the American model, that the president has the title of 23
- commander-in-chief, but it was clearly not that in reality. It was, 24
- 25 to my mind, a grandiose title being given to somebody which had no

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- reality. 1
- MS. TAVAKOLI: Can I refresh Mr. Duncan's memory, please, at 2
- paragraph 60 of his statement. 3
- PRESIDING JUDGE SMITH: [Microphone not activated]. 4
- MS. TAVAKOLI: It talks about this issue. I can go through it, 5
- but --6
- PRESIDING JUDGE SMITH: [Microphone not activated]. 7
- MS. TAVAKOLI: No. 8
- PRESIDING JUDGE SMITH: [Microphone not activated]. 9
- 10 MS. TAVAKOLI: I'll elicit it orally then. That's fine.
- Now, could Mr. Thaci have signed as prime minister? 11 Ο.
- Α. No. 12
- Ο. Why not? 13
- 14 That would be implicit recognition of the provisional
- 15 government.
- Do you think that his signing as commander-in-chief is any way 16
- related to that point? 17
- I think it made NATO a little bit more comfortable with having 18
- his name at the bottom. If the KLA insisted his name had to be at 19
- the bottom, putting a military title made it a little bit more 20
- 21 acceptable to the NATO allies. I think probably like me, they didn't
- put too much importance on the exact wording. But it had a slightly 22
- military sense to it, so it kept it in: This is a 23
- military-to-military agreement. 24
- 25 Q. Did you think that in reality Hashim Thaci was the

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- commander-in-chief of the KLA? 1
- 2 Α. No.
- Why not? 3 Q.
- I saw no evidence of it. Α.
- Q. What evidence would you expect to see if he was? Firstly, what 5
- is a commander-in-chief? 6
- Right. Well, I think there's a -- in the court cases around the 7
- Balkans, there have been a definite -- a definition has been given 8
- which is not one which is very familiar to those of us who have 9
- 10 served with the military. The issue of being a commander-in-chief is
- related to command and control. So command is the authority to issue 11
- an instruction or a directive. Control is the ability to carry out 12
- that command and to ensure that it is carried out effectively. 13
- So it's a political authority. It doesn't give you absolute 14
- power. So, for example, the problem that General Jackson had 15
- encountered when the Russians invaded was exactly that problem. 16
- received a command, but General Wesley Clark did not have control. 17
- 18 And that is -- it's sort of conceptual problem that sort of bothers
- military think-tanks all the time. 19
- So a commander-in-chief is not necessarily someone who can 20
- ensure that any instruction they give will be carried out. That is 21
- usually a separate function in NATO concept of how things are done. 22
- Now, why did you say a moment ago that Hashim Thaci was not the 23
- commander-in-chief of the KLA? 24
- 25 Α. Because the actual -- the way they behaved to him when we were

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in the negotiations. They treated him as the political -- as you'd 1

- -- as I expected, the political wing with a certain influence and 2
- authority, but not someone to whom they gave military respect. It 3
- was very noticeable. You know, they respected General Ceku because 4
- he was a professional military officer. His family had suffered at 5
- the hands of the Serb, grievously, and they saw him as a 6
- professional. 7
- When Hashim Thaci arrived, there was a certain tension as you 8
- would often find, as I often found, between a politician arriving 9
- 10 with a group of military officers. There's a distinct tension that
- -- you can feel it. They treat them slightly differently. So they 11
- saw him as an important politician to their cause but not their 12
- military commander, and that was my impression of how they behaved to 13
- 14 him.
- Is there an example or how did you see -- is it possible to put 15
- into words how you got that view? 16
- Α. Feeling. 17
- 18 Q. Feeling.
- It's very intuitive. But I -- it was very striking the way --19
- the way we were dressed. I mean, I talked a bit earlier about being 20
- seen as a political commissar. It was very deliberate. That's why I 21
- dressed in the way that I did and -- so that I blended into the 22
- military ethos. Mr. Thaci arrived in a Western suit, looking very 23
- smooth. And there was an immediate sort of clash of -- visual clash 24
- 25 between the two groups of people.

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1 Q. And did he appear to have authority over the zone commanders or

- 2 not?
- 3 A. At no stage did I see him sort of give directives or -- he
- focused -- or orders or anything like that. No. It was very clear
- 5 that we had two people we were talking to. One was the military --
- the senior military officer, and the other was the politician.
- 7 That's the impression that I had.
- 8 Q. Now, these changes to the KLA side of the signature block, was
- 9 General Sir Mike Jackson present for those or not?
- 10 A. No.
- 11 Q. Now, you've talked a lot about how you understand the KLA
- hierarchy to be. How did the KLA present itself to the outside
- 13 world?
- 14 A. They were doing their best to show us that they were a serious
- military counterpart. So when we arrived on the 17th in the pitch
- darkness, there was an honour guard, all dressed up in nice, new
- uniforms, with Kalashnikovs across their chest, berets, and, you
- 18 know, it was, you know, the proper military formal greeting of
- another military delegation. So they were keen to show that they
- were professional people. They were not just a group of guerillas in
- the bush. Now, that was very much the way they wanted to be seen.
- 22 Q. And was that correct?
- 23 A. It's very easy to dress six people up in new uniforms and put
- berets on, so I can't really say that it necessarily was.
- MS. TAVAKOLI: Can we bring up a photograph, please. That's

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- Examination by Ms. Tavakoli
- DHT05354. 1
- Mr. Duncan, what is this photo?
- Α. This is the honour guard on the day of the 19th, during the 3
- daylight.
- And did they have that on other days? Q. 5
- They had it on the 17th. Α. 6
- MS. TAVAKOLI: Your Honour, please can I seek to tender that 7
- into evidence. 8
- PRESIDING JUDGE SMITH: Any objection? 9
- 10 MR. HALLING: No objection.
- PRESIDING JUDGE SMITH: DHT05354 is admitted. Please assign a 11
- number. 12
- THE COURT OFFICER: Your Honours, that will be assigned 13
- 14 Exhibit 1D383, and I note it's marked confidential.
- MS. TAVAKOLI: It can be public, I think. 15
- PRESIDING JUDGE SMITH: Reclassify it as public. 16
- MS. TAVAKOLI: And then can I please bring up DHT05355. 17
- 18 Q. What is this photo, Mr. Duncan?
- Α. This is Mr. Thaci as he was dressed on the 19th. 19
- MS. TAVAKOLI: Thank you. Please can I tender that into 20
- evidence. 21
- MR. HALLING: No objection again, Your Honour. 22
- PRESIDING JUDGE SMITH: DHT05355 is admitted. 23
- MS. TAVAKOLI: And please can I --24
- 25 PRESIDING JUDGE SMITH: Assign it a number.

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- THE COURT OFFICER: Your Honours, that will be assigned 1
- Exhibit 1D384, and I note it's also classified confidential. 2
- PRESIDING JUDGE SMITH: Reclassify it as public. 3
- MS. TAVAKOLI: And then please could I bring up DHT05356. 4
- What is this, Mr. Duncan? 5
- This is some of the zonal commanders, probably on the 19th as 6
- well, and you can see they are dressed with -- not quite as bad as 7
- Ramush, but they've got guns and suchlike. They have come from the 8
- fighting. 9
- 10 Q. Thank you.
- MS. TAVAKOLI: Please, can I tender that. 11
- PRESIDING JUDGE SMITH: [Microphone not activated]. 12
- MR. HALLING: Just on this one, can it be clarified whether all 13
- of the people in the photo are zone commanders? 14
- THE WITNESS: The ones -- first person on the left and -- not 15
- the person, obviously, in the suit jacket and tie, but the other two 16
- are zonal commanders as I recall. I think one is Remi. 17
- 18 MR. HALLING: No objection to the admission.
- PRESIDING JUDGE SMITH: DHT05356 is admitted. 19
- THE COURT OFFICER: Your Honours, that will be assigned 20
- Exhibit 1D385, and it's also classified confidential. 21
- PRESIDING JUDGE SMITH: Reclassify it as public. 22
- MS. TAVAKOLI: 23
- You said earlier that "they were keen to show that they were 24
- 25 professional people. They were not just a group of guerillas in the

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- bush." 1
- Mm-hmm, yes. Α.
- Q. How professional were they? 3
- In what sense do you mean "how professional were they"? Sorry. Α.
- You said that it was easy -- with the honour guard picture, you 5
- said it's easy to put on, I don't know what you said, a Kalashnikov 6
- or whatever. Does that picture represent the reality of what the KLA 7
- was? 8
- Not necessarily. I've many experiences of visiting countries 9
- 10 where you get an honour guard and they're all dressed up but, you
- know, the army is pretty shambolic, sitting behind that, without 11
- naming and embarrassing the countries which I elicited. But, no, 12
- it's not evidence of a good organisational structure. And they were 13
- 14 in my experience and in the information I had received from various
- sources in the field as you would expect a querrilla army to be -15
- pretty chaotic, disorganised, getting better gradually. But they 16
- were not -- they were a guerrilla army. They were not a regular army 17
- 18 by any stretch of the imagination; hence, the grandiose titles and
- things like that. 19
- Did any other -- apart from the KLA itself, was there anyone 20
- else suggesting that they were an organised army? 21
- Α. The Serbs were, for one. 22
- Can you tell us about that? 23 Ο.
- Sorry? 24 Α.
- 25 Q. Yes, why were the -- what were the Serbs doing?

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Examination by Ms. Tavakoli

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Well, Serb propaganda, as I understood it, and I was on the Α. 1

receiving end, was that these were -- you know, it started off as 2

terrorists, and then they moved into this as a real threat. You 3

know, this is a regular army, and well disciplined, and, therefore, 4

you know, it's got a hierarchy that operates very much like a proper 5

army, perhaps in part, you know, to justify their lack of success 6

against the KLA. I mean, the KLA had some major setbacks during the 7

conflict, but, you know, kept springing back and -- as it became the 8

army of the dispossessed, with more recruits coming in and more JNA

people, and Agim Ceku, General Ceku and his reforms to, you know,

bring in a degree of professionalism. 11

What were your impressions of Hashim Thaci in the negotiations? 12 Ο.

To spare his blushes, I found him a bit of an enigma. I had 13

done the briefing for General Clark's meetings with him earlier in

the year, and I found he didn't seem to be engaged in the way that I 15

would expect a professional politician to be engaged. And at the 16

discussions, he seemed to be focused overly on matters such as the 17

18 Clinton call, and he didn't seem to appreciate that the undertaking

would prevent him having the sort of bodies that the KLA was growing,

so the police force, the intelligence police force, and, you know, 20

the fact that they had a military wing. And as you've seen elsewhere 21

in the Balkans, that's what politicians rely on. It's their power 22

They can use that. And he didn't seem to appreciate that this 23

was all going to disappear if they signed up, because we were going

25 to make sure that they were kept very separate. That anyone who had

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police experience would go into the police and be kept under control, 1

- that the KLA would be going into demobilisation and demilitarisation, 2
- and that he would be separated out from it. And it didn't seem to 3
- sort of trouble him that this would be the result of what this 4
- undertaking would do. 5
- So I found him a little bit out of his depth, and I was bemused 6
- by that. 7
- You said he was overly focused on the matters such as the Q. 8
- Clinton call. 9
- 10 Α. Yes.
- What did you deduce from that, if anything? Why was he 11
- interested in the Clinton call? 12
- Well, as I say, I was rather surprised by it, and it was really 13
- how Jamie helped us -- Jamie Rubin helped us secure the final 14
- agreement. They went off after I broke the negotiations up. When we 15
- reconvened, they then decided that we'd have separate discussions, so 16
- one-on-one, Jamie Rubin with Mr. Thaci, General Reith with 17
- 18 General Ceku, and sort of just hammer out some of the details.
- And I thought that -- you know, I was bemused by that, that 19
- focus, which was largely -- as Jamie told me later, was on the call 20
- with Clinton. And I think I realised much, much later that it might 21
- have been a way for an Albanian politician or Kosovar politician to 22
- say, you know, "I can speak to the president of the United States." 23
- And, of course, the Kosovars saw the US -- so they didn't see this 24
- 25 really as NATO. It was the US, General Clark, it's the Americans. A

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very understandable reaction. So it would be something that would be

- very helpful to him in his future political career.
- 3 Q. Why would it be helpful to him?
- 4 A. To be able to say that. You know, "I spoke to
- 5 President Clinton, and he said, you know, you're doing a great job,
- and keep going," and whatever he wanted to say about his call with
- 7 President Clinton. It would have political importance to him.
- 8 Q. So the support of the Americans was important to Hashim Thaci,
- 9 was it?
- 10 A. Clearly so. And he had obviously had a lot of discussions with
- Jamie and with Madeleine Albright as well. So he -- you know, he,
- obviously, saw the Americans as the key players in all of this. And
- he was not entirely wrong. I mean, Madeleine Albright and Jamie
- Rubin and General Clark were absolutely key players in the way that
- that whole campaign and conflict evolved.
- 16 Q. Thank you. Can you describe the relationship that you observed
- 17 between Hashim Thaci and General Ceku?
- 18 A. I think it very much was team players, and that's the way they
- 19 presented themselves to us in the way they behaved. It was, you
- know: I'm handling the military side, you're handling the political
- side, and, you know, we're both doing our own bit. So it was a team
- 22 effort in the way I observed it.
- Was -- so the sort of subset question to that is: Is there sort
- of deference? I didn't really notice a huge amount of deference. It
- seemed to be: We have our own responsibilities. That's how I recall

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- it, and it's very impressionistic. 1
- I would like to read you sort of what General Sir Mike Jackson
- had said? 3
- MS. TAVAKOLI: The reference for the Court is Exhibit P02517, 4
- paragraph 16 and 31. 5
- Now, General Sir Mike Jackson has said this: 6
- "Thaci signed the undertaking on 21 June instead of Ceku because 7
- he was the boss. He was the 'Commander in Chief.'" 8
- "Because Thaci was the leader of the KLA, if the Undertaking had 9
- 10 been signed by, shall we say, Ceku, that would only have given Thaci
- wriggle-room later." 11
- "Thaci exercised authority over Ceku. It was acknowledged. 12
- do not see it as being disputed -- a disputed issue that Thaci was 13
- 14 senior to Ceku. There was never any doubt in our minds at KFOR who
- the ultimate boss of the KLA was, and that was Thaci. Thaci signed 15
- the Undertaking on 21 June ... because he was the boss, and this 16
- remained clear throughout my time in Kosovo. If Thaci had given --17
- 18 gave an instruction to Ceku, Ceku would have carried it out. I have
- no reason to think otherwise. Ceku had been an officer in the VJ and 19
- then in the Croatian Army. I never knew Ceku to disobey an 20
- 21 instruction from Thaci ... I do not recall specific examples of
- Thaci's ability to make things happen, but that is not to say that he 22
- clearly was not the boss." 23
- Mm-hmm. 24 Α.
- 25 Q. Do you agree --

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- Α. Yes.
- -- with General Sir Mike Jackson? 2
- MR. HALLING: Objection. Compound as phrased. There is a lot 3
- of propositions in that quote. 4
- PRESIDING JUDGE SMITH: We'll take the question. 5
- Go ahead. Answer it if you can, in general. 6
- THE WITNESS: In general? Thank you, Mr. President. 7
- General Jackson and I became eventually very good friends. It's 8
- a very personal relationship between a political adviser and their 9
- 10 military commander. I was not his political adviser, but I worked
- very closely with him as you see from how I got into doing the 11
- undertaking. 12
- However, I think that in this case my answer must be no. I 13
- 14 think that -- first of all, as I said earlier, General Jackson's role
- was to deploy all those forces, the NATO forces. It was very 15
- difficult. He also had to deal with the Russian seizure of 16
- Prishtine. Remember the comments about, "I won't create World War 17
- 18 3"? I was there when he said that. So he was under a huge amount of
- pressure. I did not trouble him with what we were doing. We just 19
- told him, "We are doing this." The only time we troubled him was 20
- when he had to talk to the UN, which is part of the mandate of the 21
- UNSCR. 22
- So the result was General Jackson did not know what happened up 23
- on the mountains. He was not there. And he did not know until 24
- 25 several years later, over one of his famous whiskey sessions, when he

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- and I were sitting down together, I told him what we did. And he
- said, "Quite honestly, I did not know that's what you were doing."
- 3 So he did not know how the undertaking was created.
- What he says about what happened afterwards isn't that
- surprising because that's very much a Western military officer's
- 6 perceptions, that if the politicians ask you to do something, you do
- 7 it. Notice, as a comment, he himself says he doesn't recall any
- 8 specific evidence for that view or specific examples. He may be
- 9 right that, I mean, if Ceku had been given an instruction he would
- have carried it out. But he's talking about a period later on, after
- the undertaking has been signed, after the conflict has finished.
- Everyone is settled in a post-conflict period.
- So I wouldn't agree with his statement. I think it comes from
- not being there when this was done and his general perception of how
- professional military officers and he certainly had a lot of
- respect for General Ceku should behave and his feeling that that's
- what he would have expected General Ceku to do. It's not my
- 18 understanding.
- MS. TAVAKOLI: I'd like to show you another document, please.
- I think we have to go into private session for this document.
- 21 It is SPOE00214873 to SPOE00214999.
- PRESIDING JUDGE SMITH: [Microphone not activated].
- [Private session]
- [Private session text removed]

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1 [Private session text removed]

2

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Witness: John Duncan (Private Session) Page 27092 Examination by Ms. Tavakoli [Private session text removed] 

Witness: John Duncan (Private Session) Page 27093 Examination by Ms. Tavakoli [Private session text removed] 3 6 7 8 9 10 11 12 13 [Open session] 14 THE COURT OFFICER: Your Honours, we are back in public session. 15 MS. TAVAKOLI: 16 Mr. Duncan, I'm going to repeat that question. Do you know if 17 the KLA did, in fact, demilitarise? 18 Α. They did. 19 Who was in charge on the KLA side of demilitarisation? Q.

- 20
- General Ceku. Α. 21
- Q. To whom did he report? 22
- A. General Mike Jackson. 23
- Q. Who had overall responsibility for the process of the 24
- demilitarisation of the KLA? 25

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- Α. The same, General Mike Jackson. 1
- And what was the legal basis for that responsibility? Ο.
- Α. UNSCR 1244. 3
- 4 Q. Thank you.
- THE INTERPRETER: Interpreter's note: The speakers are kindly 5
- requested to pause between question and answer. Thank you. 6
- THE WITNESS: Apologies. 7
- MS. TAVAKOLI: Sorry. 8
- Mr. Duncan, I'd now like to move to a different subject matter Q. 9
- 10 which is post-war Kosovo.
- After the undertaking was signed, did you visit Kosovo again in 11
- 1999; and if so, when and with whom? 12
- Yes -- sorry. Yes, I went on several visits, notably in August, 13
- with General Wesley Clark. 14
- PRESIDING JUDGE SMITH: What year? 15
- THE WITNESS: 1999, sorry. 16
- MS. TAVAKOLI: 17
- 18 Did you go before August or not?
- I think they were all in August, the visits with General Mike --Α. 19
- with General Wesley Clark. 20
- MS. TAVAKOLI: Your Honour, may I be permitted to refresh the 21
- witness's recollection of paragraph 74 of his statement? 22
- PRESIDING JUDGE SMITH: Yes. 23
- MS. TAVAKOLI: 24
- 25 Q. Please, could you look at paragraph 74, Mr. Duncan. Actually,

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73 -- yeah, 74.

- 1
- Ah. Yeah, okay. One of them was in July.
- Q. Thank you. 3
- Sorry. My apologies. Α.
- That's all right. Can you describe the governance situation in 5
- June 1999? And by that I mean the political, legal, and security 6
- situation overall? 7
- In June or July? Α. 8
- Ο. June. 9
- 10 As the Serbs left, the Serb forces left, there was also a very
- large exodus of the public service in its entirety, so the prisons, 11
- the lawyers, the judges, the policemen, and this was a result of the 12
- system of apartheid that had been applied by the Serbs in preceding 13
- 14 years where they had essentially either got rid of -- sorry,
- dismissed Albanian nationals or ethnic Albanians from any of these 15
- positions and prevented them coming in, not recruiting them. 16
- So the majority of the public service was then Serb and most of 17
- 18 them left, so it was a total vacuum.
- Q. Thank you. 19
- MS. TAVAKOLI: I'd now like to show you another document. Can I 20
- check with the SPO whether this document should be in private 21
- session? That is ERN SPOE00000959 to 0001070. 22
- [Specialist Prosecutors confer] 23
- MR. HALLING: For this one, public session is all right. 24
- 25 MS. TAVAKOLI: Thank you. If we could please bring that up.

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And can we just have a look at the first page, please. 1

- Now, this, Mr. Duncan, is a witness statement of an Anthony 2
- Quinn who was from the special investigative branch of the Royal 3
- Military Police, and it was a statement made in the High Court in 4
- London. 5
- MS. TAVAKOLI: If we can just go to the top, I'd just like to 6
- 7 see the date. Yeah.
- On 5 March 2016. Do you know an Anthony Quinn? Q. 8
- I do not. Α. 9
- 10 MS. TAVAKOLI: Now, if we could go to the last sentence of
- paragraph 1 to orient you. 11
- Between June and September 1999, he was deployed to Kosovo where 12
- he was second-in-command of the special investigative branch of the 13
- 14 Royal Military Police.
- MS. TAVAKOLI: And then please can we go to the next page, to 15
- paragraph 6, the last sentence. 16
- "We entered Pristina on 20 June 1999." 17 Ο.
- 18 Mr. Duncan, did you know that at that time the Royal Military
- Police had deployed to Prishtine? 19
- On 20 June, I was otherwise occupied. But I was not aware that 20
- we had deployed this type of military unit, but I am not surprised 21
- either. 22
- Did you become aware later? 23
- Of their deployment at that time? No. But part of my work 24
- 25 after the end of the conflict -- actually, quite considerably later,

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in the later months towards the winter, I was aware of the forces

- that we were using to deal with criminality.
- 3 Q. Thank you.
- 4 MS. TAVAKOLI: Can we please go to paragraph 13 of this
- 5 statement.
- Q. And I'll read it for the record, but if you can follow it:
- 7 "The situation when we arrived in Kosovo in June 1999 was
- 8 extremely challenging. There was no local governance, no water, no
- 9 sewerage, no power and no currency. There was a total breakdown of
- normal order. Our team of little over 100 RMP were the only police
- [force] within MNB Centre. There were masses of Kosovar Albanian
- refugees coming back into Kosovo over its borders and a few enclaves
- of Serbs. The approach on the ground by KFOR troops in MNB Centre
- was very much a 'soft hats' approach to the local population ..."
- 15 I'm going to skip through.
- "Language [is] a big problem as when you went to any kind of job
- 17 you always needed two sets of interpreters, one Serb and one
- 18 Albanian, as the people from each community refused to communicate
- with an interpreter from the other community."
- MS. TAVAKOLI: And then could I just go down to paragraph 14,
- 21 please.
- 22 Q. Can you read that?
- "Upon entering Kosovo one of KFOR's main roles was to return the
- country to some sort of civilised normality. This was not an easy
- task. The Serbian population, who had controlled everything, had

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- largely left, leaving behind a country on the verge of anarchy.
- There was an enormous vacuum in terms of civilian infrastructure.
- 3 Importantly, there were no police, which I understand was because the
- 4 police in Kosovo were all or almost all Serbian and they had been
- obliged to withdraw as part of the Military Technical Agreement."
- My questions are, first, is Anthony Quinn's description of the
- 7 vacuum consistent with yours?
- 8 A. Very much so.
- 9 Q. What about his description of the situation no governance, no
- water, et cetera?
- 11 A. That is exactly what I saw.
- Q. What about his sentence, "There was a total breakdown of normal
- order"?
- 14 A. That's correct.
- Q. And what about him saying that "the Serbian population, who had
- 16 controlled everything, had largely left"?
- 17 A. That is true.
- 18 Q. And "leaving behind a country on the verge of anarchy"?
- 19 A. Very much so.
- MS. TAVAKOLI: In due course, Your Honour, I'm going to seek to
- tender this statement. However, I want to address other parts of it
- later on.
- That can be taken down for now, please. Thank you,
- 24 Madam Court Officer.
- Q. You visited, as we've seen, Peje on 6 July.

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- Α. Yes. 1
- Can you describe to the Court what you saw on that first visit,
- please. 3
- It was terrible, shocking. As we came in, we could see 4
- devastation to the Albanian farms, farmhouses, animals. We could see 5
- Serb houses burning. There were at least six that I observed as we 6
- came in, and one of them was a hundred yards from where the 7
- helicopter landed. 8
- As we drove around the town, you could see wanton destruction of 9
- 10 Albanian businesses, homes, and this is very different from the sort
- of destruction you would see from bombing. So, I mean, I was 11
- familiar with bombing, destruction. I'd seen plenty of it. This was 12
- wanton destruction. 13
- I didn't put this in my evidence, but as James Blunt, who was 14
- also there, put it in his song "No Bravery," he talks about "He has 15
- been here," and what he means is that phrase of evil stalking the 16
- land, and that's what I saw. It was pure evil stalking the land. 17
- 18 You could almost touch it. It was quite, quite horrible.
- And did you get a sense of who was committing the violence and 19
- why? 20
- The original violence was quite clearly during the conflict. 21
- mean, these were quite obviously several weeks old. And the houses 22
- burning were, obviously, quite clearly Serb houses or previously Serb 23
- houses which were burning. 24
- 25 Q. And did you get a sense of who was burning them, who was

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- committing that violence? 1
- Difficult to say. I certainly didn't see sort of armed troops 2
- wandering around doing it. This was just people. 3
- When you say "just people"? 4 Ο.
- Ordinary people. Not armed troops. Α. 5
- Why was it happening? 6
- Revenge. Yeah. I mean, revenge. When you know what happened, 7
- and, of course, I was getting as much as everybody in terms of 8
- reports from NGOs and suchlike, and then you see it, you know, you 9
- 10 can imagine why people -- you know, it goes way beyond honour. This
- is the sort of stuff that happens at the ends of wars. 11
- Ο. And revenge for what? 12
- For the atrocities that they had been -- had to endure. 13
- 14 We need -- who is "they"?
- Sorry, I beg your pardon. The atrocities carried out by the 15
- Serbs against the Kosovar Albanians. 16
- So who was committing the violence, the Serbs or the Albanians 17
- 18 that you saw?
- When I was there, the violence before had been carried out by 19
- the Serbs, and the violence that I saw going on was being carried out 20
- by the Kosovar Albanians. 21
- In revenge is what --22 Ο.
- 23 Α. In revenge.
- -- you're saying? You said it was just people. Did it appear 24
- 25 to be organised or not?

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- Α. 1 No.
- Did you see members of the KLA committing crimes?
- Α. I did not. 3
- MS. TAVAKOLI: Can I refer Mr. Duncan to paragraph 78 of his 4
- statement, please? 5
- PRESIDING JUDGE SMITH: Yes, go ahead. 6
- MS. TAVAKOLI: 7
- Can you read that sentence, 78, please. 8
- "It did not appear to me that this violence was organised or 9
- 10 directed from above as opposed to individual acts of revenge or
- criminal behaviour." 11
- I want to talk about the criminal behaviour. 12 Ο.
- Α. Mm-hmm. 13
- 14 Who were the criminals? My point really here is you're
- separating. You seem to be saying revenge or criminal behaviour. 15
- Are they separate things? 16
- Yes. Revenge is by the ordinary people, ordinary persons. 17 Α.
- 18 the situation of anarchy, those who are criminals will seek to take
- advantage of it and, you know, will loot houses for criminal intent. 19
- They are taking advantage of the anarchy to steal whatever they can. 20
- 21 So that's what I'm differentiating between: those who are
- opportunistic criminals who take advantage, and those who are trying 22
- to, you know, take revenge for what they've suffered. I think they 23
- are two different human motivations. 24
- 25 Q. And what was the nationality of the criminals?

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If we're distinguishing between what I saw had happened and what Α. 1

- was happening, it would depend. So the criminal behaviour could 2
- equally have been carried out -- the past criminal behaviour by the 3
- Serbs, but I'll come back to that. What was going on, people setting 4
- fire to houses, that's revenge. Were they looting the houses? I 5
- couldn't really see whether they were looting the houses. And that's 6
- criminal behaviour. 7
- The past violence was noticeably not criminal. It was wanton. 8
- So the violence carried out by the Serbs on the Albanian Kosovars was 9
- 10 absolutely wanton. So this was burning haystacks, killing animals,
- and, you know, burning businesses. So there was a bus station which 11
- had new Volvo buses in it and they had all been torched. They didn't 12
- take them away. They just torched them all. So that's previous 13
- 14 criminal behaviour.
- So I think one needs to distinguish between what I saw going on 15
- and what had happened in the past. 16
- And on that visit, did you know anything -- did you get to learn 17 Ο.
- 18 anything about the local KLA command structure in Peje?
- Yeah, I think it was in Peje that we had the problem. There 19
- would seem to be a leadership struggle going on, so the local unit 20
- was having a lot of trouble engaging with the KLA because they -- as 21
- they put it, their commander keeps changing. So there was a local, 22
- in that instance, power struggle going on with the KLA, which they 23
- reported to us. 24
- 25 MS. TAVAKOLI: Now, can we please bring up an article in English

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- and Albanian. In English, it's 018319. And the Albanian is the 1
- original, it's the same number, please. Just the top one is fine. 2
- Thank you. 3
- So this, Mr. Duncan, is from -- is a statement by General Clark
- made on 14 August in which he addresses the violence in Kosovo, and 5
- it's quoted in Shekulli. And it says: 6
- "Clark: There are no facts for KLA attacks. 7
- "Pristina Supreme Commander of NATO Wesley Clark said during a 8
- visit in Pristina that there are no facts that the Kosovo Liberation 9
- 10 Army is behind the attacks that have been committed against the Serbs
- after signing of peace with them in June. Clark said that the 11
- leaders of the KLA have supported their calls for the Serbs to remain 12
- in the region." 13
- 14 Then it quotes him:
- "'I will not point my finger at the KLA. Their leader has been 15
- very cooperative with us at senior levels' ..." 16
- "Some international officials have said that the KLA is the 17
- initiator -- some [internationals] have said that the KLA is the 18
- initiator of attacks against [the] Serbs, that they have expelled 19
- 170,000 Serbs from Kosovo. However Clark explained that in the 20
- 21 majority of cases the violence should be seen as spontaneous and
- particularly in the south of Kosovo, the violence is connected to 22
- organised crime." 23
- Another quote: 24
- "'From the /.../ of the KLA we have received repeated statements 25

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- for their support of a multiethnic society and the purposes of the
- international community, thus I can't point my finger towards whoever
- 3 has done this' ..."
- 4 Can you recall, Mr. Duncan, if General Wes Clark made this
- statement on one of the trips that you accompanied him on?
- 6 A. Yes, I briefed him to do so.
- 7 Q. And is General Clark's view here consistent with yours?
- 8 A. Yes.
- 9 MS. TAVAKOLI: Your Honour, I seek to tender this into evidence.
- MR. HALLING: No objection, Your Honour.
- PRESIDING JUDGE SMITH: 018319, with no objection, is hereby
- 12 admitted.
- THE COURT OFFICER: Your Honours, that will be assigned
- Exhibit 1D387. And I note it's classified confidential at the
- moment.
- MS. TAVAKOLI: I think it could be public.
- 17 PRESIDING JUDGE SMITH: [Microphone not activated].
- MS. TAVAKOLI: And then please can I bring up -- I think, again,
- we have to go into private session because of the Rule 107 provider.
- 20 And that is -- it's SPOE00214873 to SPOE00214999.
- 21 PRESIDING JUDGE SMITH: Into private session, please.
- [Private session]
- 23 [Private session text removed]

24

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Procedural Matters (Private Session)

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1	[Private session text removed]
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10	
11	
12	
13	
14	[Open session]
15	THE COURT OFFICER: Your Honours, we're in public session.
16	PRESIDING JUDGE SMITH: [Microphone not activated].
17	Luncheon recess taken at 12.58 p.m.
18	On resuming at 2.30 p.m.
19	PRESIDING JUDGE SMITH: Please bring in the witness.
20	MR. TULLY: Your Honour, if I may update the Court on time
21	estimates.
22	PRESIDING JUDGE SMITH: [Microphone not activated].
23	MR. TULLY: If I can update the Court on our time estimate.
24	PRESIDING JUDGE SMITH: [Microphone not activated].
25	MR. TULLY: We currently have 30. We will be less and we

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- will have less, if any, questions.
- PRESIDING JUDGE SMITH: [Microphone not activated]. 2
- MS. TAVAKOLI: Less than an hour. 3
- PRESIDING JUDGE SMITH: Okay. Mr. Dixon, any change?
- MR. DIXON: Yes, I think I'll be less than the hour --
- PRESIDING JUDGE SMITH: Okay. 6
- MR. DIXON: -- initially set. 7
- PRESIDING JUDGE SMITH: Mr. Ellis. 8
- MR. ELLIS: I'm intending something like 15, 20 minutes. 9
- 10 PRESIDING JUDGE SMITH: Thank you all.
- What about the Prosecution's cross-examination state? 11
- MR. HALLING: We'll keep it at the same total. We'll definitely 12
- finish tomorrow, and whether it's first or second session is the only 13
- question. 14
- PRESIDING JUDGE SMITH: Thank you. 15
- [The witness takes the stand] 16
- PRESIDING JUDGE SMITH: [Microphone not activated]. 17
- 18 You may continue direct examination.
- MS. TAVAKOLI: Thank you, Your Honour. 19
- Mr. Duncan, I want to go back to something before the end of the 20
- war. It's my fault. 21
- MS. TAVAKOLI: Could we please put [REDACTED] Pursuant to In-22 Court Redaction Order F3493RED. back up on the
- screen, [REDACTED] Pursuant to In-Court Redaction Order F3493RED., 23 1D00386, at page 3.
- MR. HALLING: And just because of the restrictions, that would 24
- need to be in private session. 25

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MS. TAVAKOLI: Sorry, yes. My mistake. PRESIDING JUDGE SMITH: So we need to go into private session, please, Madam Court Officer. [Private session] [Private session text removed] 

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[Private session text removed] [Open session] THE COURT OFFICER: Your Honours, we are now in public session. MS. TAVAKOLI: Thank you. Please, can I go back to the Kontic statement of Anthony Quinn, so that is SPOE00000959 to 00001070, and the page I want ends in 962. Thank you. It's paragraph 15 of the statement. 

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Q. Can I please ask you to read the last two sentences:

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- "The murder rate was extremely high: within the first four weeks 1
- we had over 100 murders. The majority of the victims were from the
- Serbian community who had not left the country as returning Albanians 3
- sought revenge." 4
- Mm-hmm. Α. 5
- Is Officer Quinn's recollection consistent with yours, Ο. 6
- Mr. Duncan? 7
- Α. I believe so. 8
- Q. Thank you. 9
- 10 MS. TAVAKOLI: Can we go down now, please, to paragraph 18.
- I'll read that: Ο. 11
- "The array of crimes committed by the local population which we 12
- were investigating seemed to have a variety of causes. There were 13
- revenge crimes committed by Albanians against Serbs for the perceived 14
- wrongs committed by them prior to and during the war but there were 15
- also a large number of cases where opportunistic individuals or 16
- groups were fulfilling personal vendettas or grudges or simply 17
- 18 pursuing a criminal enterprise in what was then a relatively lawless
- society." 19
- Are Officer Quinn's recollections there consistent with yours? 20
- Yes. And it's not at all surprising. We had the same in France 21
- at the end of the Second World War. But, of course, in France, at 22
- the end of the Second World War, we had an administration, we had 23
- police forces able to respond. In Albania, there was a vacuum --24
- 25 O. In Kosovo.

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Witness: John Duncan (Open Session)

- 1 A. In Kosovo, In Kosovo, I beg your pardon, there was a vacuum.
- 2 So that's not surprising that the situation was considerably worse
- than we had experienced 60 or so years previously.
- 4 Q. And in that paragraph, there's reference to a criminal
- 5 enterprise. Were you aware, Mr. Duncan, of whether there were
- 6 criminal gangs coming over the border from Albania in the summer of
- 7 1999 or not?
- 8 A. I certainly had reports of that from various sources.
- 9 MS. TAVAKOLI: Can we go up to paragraph 17, please, to the last
- 10 sentence of that.
- 11 Q. And if we read what Mr. Quinn says here:
- "There was no prospect at that time of being able to imminently
- prosecute the offenders (as there was no prosecution service in
- place) ... we hoped that our PACE compliant interviews, which we
- conducted using interpreters and exhibiting all the evidence, would
- 16 eventually be used for trials by the prosecuting authorities which
- were to be established by UNMIK."
- 18 And if you hold that.
- MS. TAVAKOLI: Can we go now down to 24, please.
- Q. "Even though there was no local prosecution authority which was
- in operation to take the investigations forward to a criminal trial,
- we still prepared the case file with as much detail as possible in
- the hope that it would be dealt with by the UNMIK or ICTY prosecuting
- teams."
- Do you see that?

Witness: John Duncan (Open Session)

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- Examination by Ms. Tavakoli
- Α. Yes. 1
- Were you aware that there was no prosecuting authorities in
- place at that time? 3
- Very much so. Α.
- And are Mr. Quinn's comments on that consistent with your 5
- understanding? 6
- Absolutely. It is an area that has always been difficult to get 7
- the international community to release personnel to come forward in 8
- the specialised area of police and prisons, and, obviously, 9
- 10 prosecution and defence lawyers. So this was particularly acute in
- Kosovo at this time, and I was very well aware of it. 11
- Ο. Thank you. 12
- MS. TAVAKOLI: I'd like to tender into evidence the statement of 13
- 14 Mr. Anthony Quinn, please.
- MR. HALLING: And we object, Your Honour. We have been 15
- indulgent with not objecting to the leading aspects of using this 16
- statement in this way, but this is clearly a statement of a witness 17
- 18 within the meaning of the Panel's definition. It is not tendered
- pursuant to Rules 153 to 155, and it cannot be admitted this way. 19
- MS. TAVAKOLI: If I may reply. We are seeking to tender it 20
- 21 under Rule 138. It's relevant, it's about post-war Kosovo, and it's
- consistent with the witness's evidence. It's authentic. It's a 22
- witness statement from an English proceedings in the High Court in 23
- London. It contains the witness's signature, a date, and an 24
- attestation that the facts stated in this witness statement are true. 25

Witness: John Duncan (Private Session)

Examination by Ms. Tavakoli

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- 1 It has probative value and its probative value is not outweighed by
- 2 its prejudicial effect. It does not fall under Rules 153 to 155
- 3 because it is not a witness statement taken in the context of a
- 4 criminal investigation or proceeding.
- 5 The Kontic trial was a civil proceeding, and as such, as
- Your Honours have ruled before, a witness statement for the purposes
- of 153 to 155 is a record "in whatever form of what a witness said in
- 8 respect of facts and circumstances relevant to the case that was
- 9 taken in the context of a criminal investigation or proceedings," and
- your decision there, Your Honours, is F03340. This is a civil trial
- and, therefore, we submit that it seeks to fall to be tendered under
- 12 Rule 138.
- 13 [Trial Panel confers]
- PRESIDING JUDGE SMITH: Please mark that MFI and give it a
- 15 number.
- 16 THE COURT OFFICER: Your Honours, that will be MFI 1D388.
- 17 PRESIDING JUDGE SMITH: Go ahead.
- MS. TAVAKOLI: Thank you.
- Now, please, could I bring up -- we need to go into private
- session now because of the Rule 107 provider.
- 21 PRESIDING JUDGE SMITH: Into private session, please,
- 22 Madam Court Officer.
- [Private session]
- [Private session text removed]

Witness: John Duncan (Private Session)

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Witness: John Duncan (Private Session)

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Examination by Ms. Tavakoli

1 [Private session text removed]

2

- 3 [Open session]
- THE COURT OFFICER: Your Honours, we're in public session.
- 5 MS. TAVAKOLI:
- Q. In the visit with Wes Clark on 13 August, do you recall if you
- 7 had separate discussions with Hashim Thaci at all?
- 8 A. Yes, we did.
- 9 Q. And can you tell the Court what you discussed?
- 10 A. We discussed the ongoing security situation. We asked various
- things such as the potential for collaboration amongst the political
- parties. We had discussions about, again, the national guard
- question. It was a fairly short discussion as I recall.
- 14 Q. And did you brief General Clark before that meeting?
- 15 A. I did.
- 16 Q. Can you recall your briefing?
- 17 A. Basically, what we -- what I've just described, and I briefed
- him to raise these matters. I think in my evidence I may have put
- 19 some more detail.
- 20 Q. You did.
- MS. TAVAKOLI: If I could please seek to refresh the witness's
- 22 memory with paragraph 83 --
- THE WITNESS: I apologise.
- MS. TAVAKOLI: -- of his statement.
- PRESIDING JUDGE SMITH: Yes, go ahead.

Witness: John Duncan (Open Session)

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Examination by Ms. Tavakoli

- MS. TAVAKOLI: 1
- That will come up, Mr. Duncan, if you would like to read it.
- Α. Thank you. Ah. Yes. I raised with General Clark the problem 3
- that we had identified, in that the process of demilitarisation was 4
- proving contentious and that Mr. Thaci appeared to be losing support 5
- from amongst some of the zonal commanders who wished to associate 6
- with other parties. Of particular concern were the links to previous 7
- financing of the UCK. And, therefore, this could be a problem for 8
- the process of demilitarisation. 9
- 10 I advised General Clark to avoid a public confrontation with
- Mr. Thaci because I felt that this would risk undermining his 11
- position. And although he's not a very easy person to work with --12
- Who? Ο. 13
- Mr. Thaci. We needed his continued arguments to -- public 14
- arguments that the undertaking should be conformed with, complied 15
- with. 16
- Ο. And was General Ceku at that meeting? 17
- 18 Α. No, they were separate meetings.
- What was your meeting with General Ceku? 19 Q.
- Again, very much the same sort of themes and asking him for his 20
- 21 assessment of how the demilitarisation was going with the zonal
- commanders in particular were they complying, you know, how was the 22
- atmosphere in the KLA about demilitarisation. 23
- And which member of the KLA was responsible --24 Ο.
- 25 Α. I beg your pardon?

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Witness: John Duncan (Open Session)

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Examination by Ms. Tavakoli

- Q. Which member of the KLA was responsible for delivering on the
- 2 demilitarisation?
- 3 A. General Ceku.
- 4 Q. Thank you.
- MS. TAVAKOLI: We can take the document down.
- Q. Now, Mr. Duncan, you've given graphic evidence to the Court of
- 7 the crimes that were being committed by Albanians against Serbs in
- the summer of 1999. Could Hashim Thaci have stopped that violence?
- 9 A. Independently, no.
- 10 Q. Why do you say that?
- 11 A. Because it was not, as far as we could judge, orchestrated
- violence. What we wanted him to do was to appeal to his fellow
- 13 Kosovars that they should not do this, that they should refrain.
- But, of course, we're dealing, as I described, with revenge attacks,
- we're dealing with criminal opportunists. So like any politician, he
- 16 could appeal for calm, but he could not enforce it. And since it was
- $\,$  not organised by the KLA as far as we could judge and determine from
- our reports on the ground and our access to usual sources, this was
- 19 the best we could ask of him.
- Q. And did he appeal for calm?
- 21 A. He did indeed.
- 22 Q. Thank you.
- MS. TAVAKOLI: No further questions.
- 24 PRESIDING JUDGE SMITH: Mr. Dixon.
- MR. DIXON: Thank you, Your Honours.

Witness: John Duncan (Open Session) Cross-examination by Mr. Dixon

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- 1 Cross-examination by Mr. Dixon:
- Q. Good afternoon, Mr. Duncan.
- 3 A. Good afternoon.
- Q. My name is Rodney Dixon. I act on behalf of Mr. Kadri Veseli,
- and I have a few further questions for you this afternoon. You said
- this morning at page 13 of the live transcript -- we don't have to go
- back there. But you were describing your day, when you start very
- 8 early in the morning, and you said that one of the key things that
- 9 you did was look at all of the available material and information for
- the briefing for General Clark. And you said that you looked at
- everything that you could find on the internet in the last 24 hours,
- you said that you also looked at diplomatic cables that were coming
- through, that you read the monitoring services to see everything that
- could be picked up, and that you read intelligence assessments as
- well.
- So would it be right to say then that, in your view, you had a
- very comprehensive understanding of what had happened on a day-by-day
- basis as a result of reviewing all of that material?
- 19 A. I wouldn't say it was comprehensive because of the problems of
- getting reporting out of a war zone and the fact that there were very
- few journalists actually in theatre. So it was a picture. It was
- 22 not necessarily comprehensive.
- Q. Yes, you indicated here that you had persons in the field. You
- were getting information from them as well?
- 25 A. Could you be more precise?

Witness: John Duncan (Open Session) Cross-examination by Mr. Dixon

- 1 Q. You said that you had operational commanders that you were
- getting briefings to and getting information from. Would you get
- information directly from the field as well?
- 4 A. I can't comment on that.
- Q. And I understand that because of the Rule 107 procedures that
- are in place, you're not able to go into details. I'm not going to
- 7 press you. But could I just ask for a generic answer that you did
- 8 get information from the field about what was happening on a
- 9 day-to-day basis? This is in the conflict zone.
- 10 A. I feel I cannot really answer that question for you.
- 11 Q. Well, can we just leave it at this, then, that you said you read
- any intelligence assessments that were being made. That's correct,
- isn't it?
- 14 A. That is correct, yes.
- 15 Q. And that was happening on a daily basis?
- 16 A. Yes.
- 17 Q. Can I just confirm in terms of the time period that we're
- looking at then for all of that information coming through, that this
- would have been from August 1998 through the whole of 1999?
- 20 A. That's correct. That's when I was in post.
- Q. So these reviews that you were doing each day and the materials
- 22 that you were getting, that was happening throughout that period in
- 23 1998 and 1999?
- 24 A. Yes.
- Q. When you gave evidence earlier that the KLA, from your

Witness: John Duncan (Open Session) Cross-examination by Mr. Dixon

- understanding, lacked an organised top-down structure, would that
- view have been formed based on information that we've talked about
- now that you were getting plus your own experiences? Would it be a
- 4 composition of all of that information and material?
- 5 A. Yes, but it was not a decisive moment where I came to the view
- that they didn't have such structure. It was from August 1998, the
- 7 situation was constantly evolving. So we had the massacres, Recak
- and others. Then, of course, as we got into it, closer to the
- 9 conflict, the organisation known as the KLA became much larger than
- it had been in previous years.
- So it's -- if you're asking me sort of at one split moment did I
- come to that conclusion? No. I was constantly looking at what I
- could see in terms of information coming to me. And as I went
- through the material, I did not see any example or instance where
- they had sort of organisation which was being claimed by, inter alia,
- the Serbs and, indeed, themselves.
- 17 Q. Yes, so it was an evolving situation. But when you looked back
- on it at the end of the time going back, it covered the entire period
- 19 1998 to 1999?
- 20 A. I wouldn't dissent to that. That sounds accurate.
- Q. Thank you. In your witness statement, I'm not going to ask you
- 22 to turn it up. I can just read you the relevant parts because it
- flows on exactly from what we've been discussing. Paragraph 62, you
- 24 say this:
- "Both in the run up to the Undertaking negotiations in June 1999

Witness: John Duncan (Open Session)

Page 27124 Cross-examination by Mr. Dixon

- and afterwards I never saw any indication that the UCK/KLA was a 1
- highly disciplined top-down organisation. The UCK/KLA might have 2
- tried to persuade the wider public that it could be compared to a 3
- regular national armed force, for example in public statements, but I 4
- saw this more of a political gesture rather than reflecting reality." 5
- Do you stand by that? 6
- I do. 7 Α.
- And you said a little earlier in your statement that: Q. 8
- "It [was] very common for liberation movements to give 9
- 10 themselves titles or ranks and to call their units by names ([for
- example], Battalions or Brigades) that often bear little relation to 11
- their equivalents in regular armed forces." 12
- Do you stand by that as well? 13
- Yes, and it's an accurate description of how querrilla armies 14
- from the last 80 years have operated. 15
- And this was particularly so with the KLA as well? Q. 16
- Yes. 17 Α.
- 18 And you said earlier on, and it's also in your statement, about
- grandiose titles, titles that had little practical reality? 19
- Α. Yes. 20
- Is it correct, then, that what you've described here in relation 21
- to these titles and these structures applied equally to the 22
- General Staff of the KLA, if you'd heard of that? That the practical 23
- reality was that the General Staff was not an organised collective 24
- 25 controlling and commanding the KLA collectively throughout Kosovo.

Witness: John Duncan (Open Session) Cross-examination by Mr. Dixon

- 1 Would that be a correct assessment?
- 2 A. Perhaps I can offer a comment?
- 3 Q. Yes, please.
- 4 A. During my time, I heard reference to the General Staff but
- 5 mostly in political statements. I noted from the indictment that it
- was created in the mid-1990s when the KLA was a completely different
- organisation. It was much smaller. And if they wish to give
- 8 themselves grandiose titles such as General Staff, you know, that's
- 9 what such organisations tend to do. But by the time I was involved
- in it, I saw no evidence that there was such a thing as a
- 11 General Staff which had a meaningful military application.
- 12 Q. Yes, thank you. And you had no meetings with this General Staff
- as a collective --
- 14 A. No.
- 15 Q. -- during your time there, did you?
- 16 A. No.
- 17 Q. You've testified that you did have meetings. We've heard about
- these meetings on the 17th and 19th of July -- sorry, June, 17th and
- 19 19th of June, but that was with the zone commanders to address them.
- That was the focus of the meeting. That's right, isn't it?
- 21 A. It was with General Ceku and the zonal commanders on the 17th.
- 22 And on the 19th, we were joined by Mr. Thaci and some of his
- 23 political colleagues.
- Q. Yes. And you focused on the discussions that you had with a
- number of the zonal commanders, quite intense discussions. Would

Witness: John Duncan (Open Session) Cross-examination by Mr. Dixon

- that be the correct way to characterise those? 1
- Α. 2 Yes.
- And that was about demilitarising in their zones and the steps 3
- that would have to be taken in their particular zones? 4
- It applied to everybody. But as I said earlier, the major point 5
- where they became forceful was after I halted the discussions, and 6
- they were obviously very upset at being excluded from the 7
- discussions. 8
- During the discussions themselves, they all had questions and 9
- 10 things that they wished to clarify, but it was not combative.
- were working with us to come to an agreement. 11
- Yes, I wasn't suggesting that there was combat. In terms to 12
- find an agreement so that they would be able to go back to their 13
- 14 zones respectively and implement that on the ground; is that right?
- I wouldn't dissent with that description. 15
- So you expected them as the zone commanders to go back to their 16
- different areas, their villages, and ensure that this was cascaded 17
- 18 down and actually did happen?
- As full participants of the discussion with General Ceku, I 19
- expected that they were going to take it collectively as their 20
- responsibility to make this happen -- collectively and individually. 21
- Yes. And did you, when you were discussing this with any of the 22
- zone commanders, get a sense that they were in touch with their 23
- zones, that they were there representing the various villages and 24
- 25 people in their organisations in their respective areas?

Witness: John Duncan (Open Session) Cross-examination by Mr. Ellis

- 1 A. They were the people who had come, they were the people that
- 2 General Ceku had told us had to be there. I couldn't comment further
- 3 than that.
- 4 Q. But you would have expected them to go back to their areas --
- 5 A. Yes.
- 6 Q. -- to deal with this?
- 7 A. Yes.
- 8 Q. Thank you, Mr. Duncan. Those are our questions.
- 9 MR. DIXON: Thank you, Your Honours.
- 10 PRESIDING JUDGE SMITH: Mr. Tully.
- MR. TULLY: Thank you, Your Honour. We have no questions.
- 12 PRESIDING JUDGE SMITH: Mr. Ellis.
- MR. ELLIS: Thank you, Your Honour. I think I will be the 20
- 14 minutes indicated.
- 15 PRESIDING JUDGE SMITH: Go ahead.
- 16 Cross-examination by Mr. Ellis:
- Q. Good afternoon. We haven't met. I'm Aidan Ellis and I
- 18 represent Mr. Krasniqi.
- 19 A. Yes.
- Q. We've been speaking a little, both with Ms. Tavakoli earlier
- today and with Mr. Dixon, about your sources of information. I think
- you've confirmed that in addition to the cables, the reports from the
- monitoring services, and any intelligence assessments, you were also
- 24 working closely with Mr. Durkee who was covering the Serbian side
- 25 particularly; is that --

Witness: John Duncan (Open Session) Cross-examination by Mr. Ellis

- 1 A. That is correct. Sorry. That is correct.
- 2 Q. Thank you. And you were also previously involved in the
- deployment of the European Community Monitoring Mission on the
- 4 border; is that right?
- 5 A. That's correct.
- 6 Q. Thank you.
- 7 MR. ELLIS: Could I have on screen, please, SPOE00304401, on the
- 8 second page, please.
- 9 Q. This is the unredacted part of a report from the European
- 10 Community Monitoring Mission dated 27 November 1998. And I think you
- just confirmed that you had started enrolling in August 1998, so this
- would be within the period. Have you had a chance to read the
- paragraphs there?
- 14 A. If you would give me a second.
- 15 Q. Sure.
- 16 A. Yes, I've read it.
- 17 Q. Thank you. So this appears to be a report in relation to a
- report submitted by the chief of police of Djakovica, and that would
- have been part of the Serbian apparatus, wouldn't he?
- 20 A. It looks like that, yes.
- Q. And you will see that when US KDOM located the victim and
- assessed physical injuries and the placement of an RPG launcher
- because it was incompatible with the initial report that the UCK had
- initiated the attack. Do you see that in the [Overlapping speakers]
- 25 ...

Witness: John Duncan (Open Session) Cross-examination by Mr. Ellis

- 1 A. I do.
- 2 Q. [Overlapping speakers] ... report?
- MR. ELLIS: Can I then take you, please, to another document,
- 4 which is SPOE00133489. And this one, to orientate you, is a KDOM
- report, a weekly report for the period November 23rd to November 29.
- And if we could go to the second page, please, the fourth paragraph.
- 7 Q. Now, maybe this is the same incident, maybe it isn't, but the
- 8 report there is that once KDOM visited the scene, they found evidence
- 9 that appeared to contradict the part of the report given by the
- 10 police and a further investigation was called for. Do you see that
- 11 --
- 12 A. [Overlapping speakers] ...
- 13 Q. -- in the report?
- And if we could look over the page, please, on page -- the page
- ending 91, you see a paragraph there beginning "On 27 November ..."
- It's the second paragraph down. If I could invite you to read that.
- 17 A. Yes.
- 18 Q. So here again was a report received from VJ forces, but KDOM
- were not able to confirm whether this was a KLA arms deposit or a
- stage-managed operation by the VJ. Are you able to recall whether
- you saw these cables or these sorts of cables during the time when
- you were in post?
- 23 A. I certainly saw these types of cables. And I neglected to
- mention it in my earlier evidence that, yes, of course, this is one
- of the sources of information that we had. And, yes, it's not --

Witness: John Duncan (Open Session) Cross-examination by Mr. Ellis

- this one is not particularly surprising if one remembers that the
- collapse of Albania in 1997, I think 1997, when all the arms dumps
- were raided and -- so there was a lot of weaponry, military weaponry
- floating about in the area. So it is -- you can see why it is
- 5 difficult for them to say whether it is actually a KLA arms dump or
- 6 something else.
- Q. And you've also confirmed, I think earlier today, around page 72
- in the transcript, that you were aware of Serbian propaganda --
- 9 A. Yes.
- 10 Q. -- at the time. And is it consistent with reports that you saw
- at the time that there were occasions when Serbian propaganda sought
- to stage manage operations to portray the KLA in a bad light?
- 13 A. Yes.
- MR. ELLIS: Could we then go back a page in the document,
- please, to the sixth paragraph on that page.
- 16 Q. I'll just invite you to read the section beginning "Villagers in
- 17 Volujak and Svrhe," with an apology with how I've pronounced them.
- 18 A. Yeah.
- 19 Q. Now, you spoke, I think, at the start of your evidence about
- your awareness of Albanian society at the time and the importance of
- the *kanun*. Do you recall that?
- 22 A. Yes.
- Q. And is it right that a part of that reliance on the kanun is
- that people tended to look to sort things out themselves in reliance
- on what you've described as an eye-for-an-eye-type approach?

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- That's the basis of kanun. It's not a -- you know, we're not Α. 1
- talking about kanun in the Middle Ages, but it's part of the way 2
- society thinks. So, yes, there would be echoes and justification for 3
- people to try and right a wrong by taking action which would be 4
- equivalent. This is roughly how the kanun works. 5
- Yes. And that approach continued throughout the conflict, 6
- didn't it? 7
- Yeah. Very much so, yes. Α. 8
- And did you read the reports during your time involved in the 9
- 10 area, that people had been killed because of family disputes or local
- rivalries? 11
- I cannot think of any instances in particular, but it wouldn't 12
- have surprised me to see things like that. 13
- Can I just come back to you on that? There was an incident in 14
- post-conflict -- again, I have to be careful how I answer this 15
- There was an incident where a senior member of the KLA was 16
- involved in a family feud between his family and people around it and 17
- someone else who was not in the KLA. And when this was -- this first 18
- occurred, it was thought that this might be an infringement of the 19
- undertaking. And what actually happened was it was an incident of 20
- the type you are talking about, where there was a family feud going 21
- on between these two families, and one of the families took action 22
- against the other family, and it just happened that one of those 23
- family members was a KLA person. 24
- 25 Q. And I imagine on those occasions, unless one investigates

Witness: John Duncan (Open Session) Cross-examination by Mr. Ellis

- thoroughly, it can be quite hard to tell which side of the line it
- falls; correct?
- 3 A. Exactly.
- 4 MR. ELLIS: Your Honours, these two documents I was intending to
- tender either through the bar table or through this witness. Perhaps
- I can invite Mr. Halling to indicate whether the Prosecution objects,
- and then if there is an objection, I can deal with it through the bar
- 8 table in the light of the rulings last week.
- 9 MR. HALLING: We have no objection to admitting them now.
- Because of the nature of the documents, we just ask they be
- 11 classified as confidential.
- 12 PRESIDING JUDGE SMITH: I'm not sure, Mr. Ellis, how much of
- each of these you're admitting. Page 2 on the first one?
- MR. ELLIS: The first one was SPOE00304401.
- 15 PRESIDING JUDGE SMITH: Yes.
- 16 MR. ELLIS: The only thing that's unredacted on the first page
- is the date and the title. I suppose it might --
- PRESIDING JUDGE SMITH: I'm just asking which pages you want to
- 19 tender.
- MR. ELLIS: I would tender both because the date's relevant for
- 21 context.
- PRESIDING JUDGE SMITH: Page 1 and 2?
- MR. ELLIS: Yes.
- PRESIDING JUDGE SMITH: All right. 0304401 at page 1 and 2 is
- 25 admitted and remains confidential.

Witness: John Duncan (Open Session) Cross-examination by Mr. Ellis

- THE COURT OFFICER: Your Honours, that will be assigned
- 2 Exhibit 4D107.
- MR. ELLIS: And the second document was SPOE00133489.
- 4 PRESIDING JUDGE SMITH: Yes.
- MR. ELLIS: It's a four-page document. I only used two, but I
- 6 think it all may be useful for context.
- 7 PRESIDING JUDGE SMITH: Any problem with all four pages?
- 8 MR. HALLING: No, Your Honour.
- 9 PRESIDING JUDGE SMITH: All four pages of SPOE00133489 is
- 10 admitted and will remain confidential.
- 11 THE COURT OFFICER: Your Honours, that will be assigned
- Exhibit 4D108.
- MR. ELLIS: Your Honour, there was one other document that I
- wish to show the witness briefly, but I see I've omitted in error to
- put it on the PQ. May I seek permission to show it to the witness?
- 16 PRESIDING JUDGE SMITH: [Microphone not activated].
- MR. ELLIS: It's an article from the Telegraph.
- PRESIDING JUDGE SMITH: [Microphone not activated].
- MR. HALLING: We would need the ERN to know if we have any
- 20 position on it.
- 21 MR. ELLIS: It's DJK02054.
- MR. HALLING: No objection to this being shown to the witness.
- PRESIDING JUDGE SMITH: Go ahead, then.
- MR. ELLIS: Thank you, Your Honour. If I could have that on
- screen, please. And apologies that it wasn't on the PQ. If we could

Witness: John Duncan (Open Session) Cross-examination by Mr. Ellis

- scroll down a little. That's fine. Thank you. 1
- Witness, this is an article from the Telegraph, I think, at the 2
- time. I'm not sure whether this is something you'll be able to 3
- comment on or not. But you'll see that it begins that: 4
- "British and American special forces teams [were] working 5
- undercover in Kosovo with the rebel Kosovo Liberation Army ..." 6
- Are you able to confirm the accuracy of that? 7
- I am not able to comment. Α. 8
- Thank you. And were you aware of reports such as this being Ο. 9
- 10 published at the time?
- I would have almost certainly have seen this at the time. 11
- MR. ELLIS: Your Honour, I would seek to tender it on the basis 12
- that the witness has seen similar reports at the time. 13
- PRESIDING JUDGE SMITH: Mr. Halling. 14
- MR. HALLING: It's a little thin but no objection. 15
- PRESIDING JUDGE SMITH: DJK02054 is admitted. It should remain 16
- confidential? It is public? 17
- 18 MR. ELLIS: It's a public report.
- PRESIDING JUDGE SMITH: Oh. Oh, I'm sorry. 19
- MR. HALLING: We agree on this one. It can be public. 20
- PRESIDING JUDGE SMITH: It will remain public, then. 21
- THE COURT OFFICER: Your Honours, that will be assigned 22
- Exhibit 4D109, and I note it's a three-page article. 23
- MR. ELLIS: Yes. 24
- 25 PRESIDING JUDGE SMITH: I couldn't hear you.

Kosovo Specialist Chambers - Basic Court

Witness: John Duncan (Open Session)

Procedural Matters

THE COURT OFFICER: It's a three-page article and Your Honours

- just mentioned the first --
- 3 PRESIDING JUDGE SMITH: [Microphone not activated].
- 4 MR. ELLIS: Yes.
- 5 PRESIDING JUDGE SMITH: [Microphone not activated].
- 6 MR. ELLIS: And those were my questions. Thank you,
- 7 Your Honour.
- PRESIDING JUDGE SMITH: Yeah, we'll break now before you do your
- 9 cross-examination.
- We have a ten-minute break, is all, here.
- 11 [The witness stands down]
- PRESIDING JUDGE SMITH: We're adjourned for ten minutes.
- --- Break taken at 3.26 p.m.
- --- On resuming at 3.40 p.m.
- PRESIDING JUDGE SMITH: You may bring the witness in.
- [The witness takes the stand]
- 17 PRESIDING JUDGE SMITH: It's a long day, huh?
- 18 THE WITNESS: It is.
- 19 PRESIDING JUDGE SMITH: Mr. Halling with the SPO will have
- cross-examination questions for you. Please give him your attention.
- 21 THE WITNESS: Thank you.
- MR. HALLING: Thank you, Your Honour.
- 23 Cross-examination by Mr. Halling:
- Q. Good afternoon, Mr. Duncan. My name is Matt Halling. I'm a
- 25 Prosecutor with the Specialist Prosecutor's Office. I'll be asking

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- you questions until 4.30 today, and then I'll finish tomorrow.
- 2 A. Fine.
- Q. So I wanted to start, you were talking about your knowledge of
- 4 KLA and PGoK issues, the sources you consulted, the difficulties of
- 5 getting reporting in a war zone. I wanted to start, are you familiar
- 6 with the People's Movement of Kosovo, or the LPK?
- 7 A. Yes.
- 8 Q. Are you familiar with the LPK's relationship with the KLA?
- 9 A. Yes.
- 10 Q. What did you understand that relationship to be?
- 11 A. We're looking back a long time, and it's a very detailed
- question. As I recall, it was -- how can I put this? It was the
- political wing of the KLA. It was part -- if you wanted -- my
- understanding was it was part of the same group, if you want.
- Q. Are you familiar with the contents of official KLA communiqués?
- 16 A. I would see them, not necessarily always, but I would see a lot
- of them. They would be reported to me by, as we've seen, ECMM, KDOM.
- I would see them in the press, monitoring briefings, things like
- 19 that. So I would get them sent to me in various forms.
- Q. And what kind of topics were KLA communiqués about?
- 21 A. Gosh, a wide range of topics from the political to what they
- 22 were doing. But largely, as I read them, part of a -- I mean, I was
- a director of communications in one of my previous jobs. Part of a
- 24 narrative that they were trying to create of this is a
- well-established organisation and with, you know, it's the future of

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- 1 Kosovo. That's the narrative that they were trying to create.
- 2 Q. Maybe we can look at an example.
- MR. HALLING: Could the Court Officer please put on the screen
- 4 P273-ET.
- 5 Q. Now, Witness, the evidence in this case is that KLA communiqués
- 6 were sequentially numbered. You see this is Communiqué 28 and it's
- 7 dated 16 January 1999. And it begins:
- "On 26 December 1996 and 7 January 1997, in operational zone no.
- 9 1, our armed units carried out two assassinations against
- 10 collaborators of the Serbian occupier in Kosovo."
- 11 And then a couple paragraphs down it says:
- "The decision to execute them was taken by the KLA Central Staff
- after they had been warned earlier to quit their collaboration with
- 14 the occupier, and in line with the KLA Central Staff decision
- published in Communiqué no. 27."
- 16 Have you ever seen a communiqué on something like this before?
- 17 A. This is well before my time. As you know, I started in August
- 18 1998. This is January 1997. So it is a different entity to what I
- was dealing with. The war had moved on considerably. So I don't
- 20 recall ever seeing anything of this nature referring to execution of
- collaborators and nation's traitors. And you can see the point of it
- at the bottom of the last two paragraphs in terms of the narrative.
- MR. HALLING: If we could now have on the screen P1752-ET.
- Q. Now, Witness, you can see at the top of the screen it's
- Communiqué No. 80 of the General Staff of the KLA, and it's dated 23

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- June. Now, it doesn't have a year, but you see at the beginning
- 2 under part 2, it says:
- "A first meeting between COMKFOR and KLA was held on June the
- 4 22nd, 1999, in Pristina."
- 5 So do you see that?
- 6 A. I do.
- 7 Q. Now in part 1 of this communiqué, it says the following:
- 8 "Drenica Operative Zone Intelligence Service has undisputable
- 9 information that, up to 1995, Sami, Vehbi and Gani Lutani
- 10 collaborated with Yugoslav Secret Services and later with Yugoslav
- 11 Army [Services] in Kosovo."
- And then it says in the next paragraph:
- "During their armed activity against Kosovar population, they
- 14 also raped Kosovar women and girls, where in one case they forced one
- of them to commit suicide by jumping off a third floor. For all
- these crimes, they were wanted by KLA and on June the 19th, 1999 they
- were found and surrounded by the military police force. They called
- on them to surrender but they opened fire and as a result of the
- 19 gunfight, Vehbi and Gani Lutani were killed, whereas Sami is still a
- wanted person. Two of our policemen were wounded."
- Now, Witness, the KLA is saying this incident happened on
- 22 19 June when you were negotiating the undertaking. Are you familiar
- with this having happened?
- 24 A. I am not.
- MR. HALLING: And just for the others in the courtroom in

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- relation to this communiqué, we would note P431, page 12; P2868,
- 2 pages 2 to 3; P2869, page 5; and pages 2793 and 2794 of the
- 3 transcript.
- The document can be taken off the screen.
- MR. DIXON: Your Honours, just before that is done, it's not an
- objection but just to note that this, as I understand it, is the
- 7 English translation of a communiqué and that wasn't on the screen.
- 8 So if there's anything to be made of what the witness saw or didn't
- 9 see at the time, whether he saw it in the original or some
- translation of it, I think that's important to point out. That that
- was not what was published as I understand it. That was a
- translation of what was published.
- MR. HALLING: Irrespective of that, my question was about
- whether he was familiar with the incident.
- 15 PRESIDING JUDGE SMITH: That's correct.
- MR. DIXON: I understand that. That's why I wasn't framing it
- as an objection, but just saying if there was any reference made to
- whether he saw it or could understand what happened as a result of
- that, it wouldn't be fair to put that because this was a translation.
- He wouldn't have necessarily read it in the Albanian.
- PRESIDING JUDGE SMITH: I don't know that he reads the Albanian.
- MR. DIXON: I don't know that either. That's why I was pointing
- this out.
- 24 PRESIDING JUDGE SMITH: [Microphone not activated].
- MR. HALLING: And why don't we just resolve that right now.

Witness: John Duncan (Open Session) Cross-examination by Mr. Halling

- 1 Q. Do you speak and understand Albanian, sir?
- 2 A. Not at that level.
- 3 Q. Getting back to my questions. Are you familiar with the
- 4 contents of any KLA regulations?
- 5 A. And specifically what regulations are you referring to, may I
- 6 ask?
- 7 Q. We'll start with any regulatory document issued by the KLA.
- 8 A. At the time, no.
- 9 Q. Are you familiar with the contents of any KLA orders or KLA
- 10 reports?
- 11 A. KLA reports as reported to the press, yes. Internal documents,
- 12 no.
- 13 Q. Have you ever seen any documents concerning KLA appointments
- 14 before?
- 15 A. That depends what you're referring to. If it's a general
- question like that, it's -- I'm sorry, but it's a little bit too
- 17 vague. So I can give you an example of things that I saw, and that
- was the appointment of Agim Ceku as the commander of the KLA. So
- that sort of thing, yes, I saw it. But most of what I saw was not
- what we would call raw intelligence, because there's no time for
- someone like me in my position to read raw intelligence. What I need
- to be able to do is to have intelligence assessments, so that's what
- I would generally see, in which there would be, and sometimes they
- would be based on, information that people had picked up on internal
- documents, as you refer to them, but I would not necessarily see that

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- document or that regulation. What I would see would be the
- assessment by the agencies of something that had happened such as a
- 3 regulation or an appointment.
- I hope that helps.
- 5 Q. It does. You gave one example in your answer of the appointment
- of Agim Ceku. Are there any other examples of appointments that you
- 5 saw or that you can remember?
- 8 A. Nothing significant, no.
- 9 Q. And from your familiarity with the decision appointing
- 10 Agim Ceku, do you remember who issued that decision?
- 11 A. No, I don't recall.
- 12 Q. Maybe we can try and refresh your recollection.
- MR. HALLING: Can we please put P113-ET on the screen, page
- 14 008559.
- Q. And you can see on the bottom of the screen where it says:
- "In Degree 84/99 dated 28 April 1999, issued by the government
- of Kosovo in Prishtina, it is stated:
- "In reliance on the proposal of the Ministry of Defence of
- 19 Kosovo, in reliance on Article 6 Paragraph F of the Law Relating to
- the Business of the Provisional Government, the Government of Kosovo,
- in its meeting on 27 April 1999, appoints Mr. Agim Ceku to be Chief
- of the General Staff of the Kosovo Liberation Army.
- "This decision comes into force immediately.
- "Hashim Thaci, Prime Minister."
- Is this the appointment of Agim Ceku that you saw?

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- Α. I don't think so, no. And it's impressive, but it's propaganda, 1
- I'm afraid. But you would expect this.
- Are you disputing that Hashim Thaci issued the decision? 3
- No, I'm not contesting what it says there. I'm looking at what Α.
- the language is being used. So we talk about a Ministry of Defence 5
- of Kosovo. What was the Ministry of Defence of Kosovo? 6
- Right. You actually answered my question already --7
- Α. Yeah, so --8
- -- with what you said. Q. 9
- 10 Α. Yeah, okay.
- MR. HALLING: We can take the document off the screen. 11
- Are you familiar with a person in the KLA named Azem Syla? 12 Ο.
- It rings a bell. Perhaps you'll refresh my memory. 13
- I'll try another name first. Sokol Bashota? 14
- There are a lot of names, and I have to -- once again, and I'm 15
- sorry, but in dyslexia, names is one of the things that you can't get 16
- and I'm very bad at it. I used to have someone behind me whispering 17
- names when I was an ambassador so that I knew who the heck it was 18
- that I was supposed to be meeting. So the names would be meaningless 19
- to me, I'm sorry to say. 20
- If I told you that Azem Syla was the former general commander of 21
- the KLA and Sokol Bashota was the former deputy commander, do you 22
- have any reason to dispute that? 23
- Yes, now that you've put them into context, those names are 24
- 25 familiar.

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Witness: John Duncan (Open Session)

Cross-examination by Mr. Halling

- Ο. Are you familiar with the names of the KLA operational zones? 1
- I beg your pardon, the zones themselves or the zone commanders? Α.
- We'll start with the zones themselves. 3 Q.
- I don't recall what they were called exactly, no. Α.
- You mentioned a couple of them in paragraph 83 of your Q. 5
- statement. I don't know if we need to put it on the screen --6
- 7 Α. No.
- -- but the sentence was: Q. 8
- "Remi and Ramush, zonal commanders of Prishtine and the eastern 9
- zone remained loyal but were hardliners." 10
- Did you understand the Prishtine and the eastern zone to be the 11
- names that the KLA gave to two of its zones? 12
- I think that's taken from a briefing to General Clark, and the 13
- terminology I was using was the terminology used by NATO. 14
- So do you know the terminology that the KLA used when describing 15
- its own zones? 16
- No, I don't recall what it was. 17 Α.
- 18 Now, you mentioned that Ramush was the zonal commander of the
- eastern zone. I take it was the eastern zone in the eastern part of 19
- the country? 20
- I think there may have been an error there in either the -- when 21
- I wrote the brief or in my later recollection. 22
- Okay. So if I put it to you that Ramush Haradinaj was the head 23
- of what was called the Dukagjini zone and that that's in the western 24
- 25 part of --

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Cross-examination by Mr. Halling

- Α. Yeah. 1
- -- Kosovo --
- Α. Yeah. 3
- -- do you have any reason to dispute that?
- Α. You are correct. Yes. 5
- I now want to talk about the undertaking, starting, I guess, in 6
- big-picture terms. A key challenge when drafting the undertaking was 7
- concerns of a renewed Serbian offensive. That's right, isn't it? 8
- No. We had a Military Technical Agreement with the Serbs. The 9
- 10 undertaking is focused on the part that's missing in the jigsaw,
- which was our ability to deal with the Kosovo Liberation Army. We 11
- had no mechanism in which to engage with them, and the risk was they 12
- would become insurgents against us. 13
- MR. HALLING: If we can now go to paragraph 32 of the witness's 14
- statement, which is across DHT11893 to DHT11909. 15
- Now, Witness, you asked to have your recollection refreshed with 16
- this page of the statement earlier today. 17
- Mm-hmm. 18 Α.
- You actually weren't shown that these key challenges continued 19
- on to the next page. 20
- 21 Α. Mm-hmm.
- MR. HALLING: If we could flip the page. 22
- You can see one at vii: 23 Ο.
- "Kosovars" -- this was a key challenge that: 24
- 25 "Kosovars more widely were concerned over long term risk of a

Witness: John Duncan (Open Session) Cross-examination by Mr. Halling

- renewed Serb offensive and the international community's willingness
- 2 to protect them."
- 3 That was a key challenge, wasn't it?
- A. No, that -- yeah, it was a key challenge but you have to
- 5 understand how -- what that means. They were concerned that NATO
- 6 would not protect them. They were equally concerned that UNSCR 1244
- talks about return, and they read it to mean return of the Serbs. So
- 8 that's a legitimate concern on their behalf, that the international
- 9 community, which had mixed views on the Kosovar Albanians, very mixed
- views, and they had a UNSCR which talks about return, they were
- 11 concerned that NATO might pull out and they would be subject to a
- renewed Serb offensive. As a result of that concern, they might be
- resistant to doing an agreement to demilitarise.
- I hope that explains what that sentence is trying to say.
- Q. I think so. And from the KLA side in the undertaking, the
- 16 undertaking was also supposed to deter KLA reprisals for any UNSC
- 17 1244 violations.
- 18 A. Mm-hmm.
- 19 Q. That's right? I heard you but --
- 20 A. Yes. Sorry.
- Q. -- it needs to be on the record.
- 22 A. I beg your pardon. I beg your pardon.
- Q. Yes. And so just one more time clearly on the record, I'll ask
- the question again: The undertaking was also supposed to deter KLA
- reprisals for any UNSC 1244 violations?

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Cross-examination by Mr. Halling

- 1 A. Can I just ask you what violations you have in -- because that's
- a very vague term, "any violations." What violations in particular
- are you concerned about and do you feel the undertaking was related
- 4 to?
- 5 Q. Why don't we just go to the undertaking itself.
- 6 A. By all means.
- 7 MR. HALLING: So P1444, paragraph 11.
- Q. And you see there in the middle of the screen:
- "The UCK agrees not to conduct any reprisals, counterattacks, or
- any unilateral actions in response to violations of the UNSCR 1244
- and other extant agreements relating to Kosovo. This in no way
- denies the right of self-defence."
- This was something that you've included in the undertaking; yes?
- 14 A. Yes, that's right. And what it means, we're talking about
- actions in response to violations in UNSCR 1244. What we're talking
- about is Serb violations. That's what we're talking about. So the
- first part of the sentence is about not to conduct reprisals,
- 18 counterattacks. That's their action. The second part of the
- sentence is "any unilateral actions in response to violations," so
- that's a reaction to Serb violations of the UNSCR 1244.
- Is that clearer, I think?
- 22 Q. I follow.
- 23 A. Yeah.
- Q. Thank you. And you were talking about -- in your evidence
- earlier today about General Jackson's concerns. You were in

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- agreement with General Jackson that the lack of a demilitarisation 1
- plan as KFOR deployed was a serious problem? 2
- Α. Very serious problem. 3
- And you were talking about the speed of everything. The push 4 Ο.
- was to get the undertaking finalised by 21 June 1999; correct? 5
- Yes, that's correct. 6
- So the final discussions with the KLA in these negotiations were 7
- particularly sensitive due to time pressure; right? 8
- Α. Very much so. 9
- 10 PRESIDING JUDGE SMITH: Witness, please --
- THE WITNESS: I beg your pardon. 11
- PRESIDING JUDGE SMITH: -- have a slight pause before you 12
- answer. 13
- 14 THE WITNESS: I do apologise.
- MR. HALLING: 15
- Witness, when you were negotiating the undertaking, you 16
- negotiated only with the KLA. 17
- 18 Α. Yes.
- And you said in your statement, and again in court today, that 19
- this was a military-to-military agreement but with a lot of political 20
- connotations as well. 21
- Α. That's correct. 22
- And your concern was that the functions of the KLA's military 23 Ο.
- arm and political wing were commingled at this time; correct? 24
- 25 It's not a term I would use. It was part of -- I had the word

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- in my mind just a second ago. They were not commingled. It was an
- 2 architecture. So not a hierarchical architecture, but an
- architecture in which there was a political wing, and in the same
- 4 architecture was a military wing, and you've referred me earlier to a
- 5 police and intelligence wing, so there are at least three elements to
- that architecture of what the KLA was.
- And it's not a pyramid. It's like a network, if you want.
- 8 That's the way that I viewed it.
- 9 Q. I didn't ask how it was hierarchically structured. They needed
- to be divided, these political and military functions?
- 11 A. I beg your pardon. Yes, you're quite right. We needed to break
- the links, however they were, between those three elements.
- Q. Witness, you discussed how Hashim Thaci represented the KLA in
- these undertaking negotiations. What mattered to you at the time was
- that the person signing the undertaking had the apparent authority to
- sign it; is that right?
- 17 A. I believe I explained it in slightly more detail than that, that
- the undertaking itself creates a series of drivers which motivates
- 19 people to do things, and those people are zone commanders, in
- 20 particular Agim Ceku. And that once we had that driver in place,
- which meant they bought into it, they negotiated it with us, they
- were honour bound, and I use the term advisedly, to abide by it.
- 23 After that, you need to formalise it.
- And if they chose to have Hashim Thaci as the signature, that
- was okay with us, but the real reality of the undertaking is it

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- creates that momentum. So it's slightly more complex than you were 1
- implying, if I may. 2
- I follow, I think, but just to make sure I do. 3
- MR. HALLING: If we can go to paragraph 59 of your statement. 4
- Q. And so it says on the bottom: 5
- "What was important was that the person signing had the apparent 6
- authority, either delegated (for example in diplomatic ..." 7
- And then it continues on the next page: 8
- "... (for example in diplomatic terms this would be 9
- 10 plenipotentiary powers) or by nature of their position to sign a
- binding agreement." 11
- This is what was important. 12
- The key word is "apparent." The appearance of authority for the 13
- 14 formal document which was going to be handed over. What the
- undertaking did is what I've just explained. 15
- And you were satisfied that Hashim Thaci had sufficient stature 16
- to sign this document on behalf of the whole KLA. 17
- 18 Α. It was their request. It was their undertaking. I was not
- going to contest that if they wished Hashim Thaci to be the 19
- personification of the KLA and sign, that was okay. 20
- Now, before going into the undertaking negotiations more 21
- specifically, I did want to focus on just one part of your drafting 22
- process. So you talked earlier about the Lancaster House Agreement 23
- and Rambouillet and the MTA all being models for the drafting of the 24
- 25 undertaking.

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- Α. That's correct. 1
- Were there any provisions on the protection of civilians that 2
- you borrowed from any of the model documents when drafting? 3
- I really can't recall. It was done very fast. And I would have 4
- to look at the documents again to say: That came from here, that 5
- came from there. You know, it's a very complex drafting process, and 6
- it was done at immense speed. I mean, I have subsequently done 7
- negotiations of major conventions, such as cluster munitions and arms 8
- trade treaty, which take months. We did this in a week. So, you 9
- 10 know, you'd have to bear with me and I'd have to go back and look at
- the documents. 11
- I understand that. There's one particular provision in the 12
- undertaking I was interested in. 13
- MR. HALLING: And maybe we can go to that. So P1444, page 14
- 078200, and this would be paragraph 10(d) of the undertaking. And 15
- we'll just wait a moment for it to appear on the screen. 16
- It says at the beginning of 10: 17 Ο.
- "The UCK undertakes and agrees in particular:" 18
- And then if we can go to the next page: 19
- "Not to attack, detain or intimidate any civilians in Kosovo, 20
- nor shall they attack, confiscate or violate the property of 21
- civilians in Kosovo." 22
- What was the origin of that? 23
- It's very difficult to say after so long. I can't recall 24
- 25 similar language in the Lancaster House. It may well be there.

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There was a similar situation of a querrilla army coming in from, in 1

their case, the bush rather than the mountains, and there was the 2

risk of attack to civilians. Whether it was -- there was also --3

There was also the problem, which was going to be apparent 4

and became apparent as we've seen earlier today, was that there would 5

be a very uncertain situation, and the forces of the civil power, in 6

this case UNMIK, would not be strong enough to prevent any such 7

revenge attacks or things that we've talked about. And, therefore,

you know, it was common sense to make sure that we got an undertaking

from the KLA that they were not going to be part of this, that they

were going to, you know, refrain from what happened afterwards.

And as I've said before, I, as a French national, am very well 12

aware of what happened post liberation. So I would be aware of the

risk that such revenge attacks would happen. So I can't give you a

simple answer as to where that came from without further research.

Yes. And to at least spare that further research, if I put it 16

to you that this language of attacking and intimidating civilians 17

18 doesn't appear in the Lancaster House Agreement, the Rambouillet

accords, or the MTA, do you have any reason to dispute that?

If you've done the research, I'll take your word for it. 20 Α.

Now, Witness, I would like to go now to the meetings that you 21

have -- we'll start with the meetings on the 14th and 15th of June, 22

1999. And I understand you met with Agim Ceku both days? 23

Yes. Α. 24

8

9

10

11

13

14

15

19

25 Q. In those meetings, was there anyone else from the KLA side with

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- Agim Ceku? Do you remember? 1
- There was a man called Haliti. Α.
- Q. Do you remember Haliti's first name? 3
- Xhavit. Α.
- Xhavit Haliti. And who from the international side was there 5
- besides yourself? 6
- It varied. On the first day, I believe -- from the 7
- international community, there was a representative of US KDOM on the 8
- first day. And on the second day, there was also a representative of 9
- 10 US KDOM and a US representative as well.
- Ο. And in addition to the evidence you already provided earlier 11
- today on your discussions with Agim Ceku, at one point on 15 June 12
- Agim Ceku asked that the undertaking should be in the KLA's name; is 13
- 14 that right?
- I believe that's correct because I think the original draft said 15
- "armed forces," and the title was changed and that wording was 16
- changed. I mean, I could refer to my notes at the time, but you're 17
- 18 asking me here and that's roughly my recollection.
- We'll get back to that in a little bit, maybe tomorrow. On 19
- 17 June, as I understand from your evidence earlier today, this was 20
- the meeting with the zone commanders? 21
- Α. That's correct. 22
- But you also talked about there being a political wing presence 23 Ο.
- at this same meeting. 24
- 25 Α. Yes.

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- Ο. And you said Hashim Thaci wasn't there. 1
- He was not. Α.
- Do you know where he was? You were told he was not available. 3
- On the 17th -- on the 18th, he was definitely in Ljubljana, and 4 Α.
- I think he was there on the 17th as well. 5
- MR. HALLING: If we could pull up on the screen 1D339-ET. 6
- Now, Witness, this is going to be a media report that comes on 7
- the screen. It goes to a video that's in Albanian, so I'll spare you 8
- that and we'll just show the English transcript. 9
- 10 Α. Thank you.
- You can see --11 Ο.
- Α. 17th, yes. 12
- Ο. Yes. 13
- "Hashim Thaci and Pandeli Majko in Macedonia." 14
- And then you see: 15
- "17.06.1999. 16
- "Albanian Radio and Television." 17
- 18 And it begins:
- "Moderator: After his meetings with the highest Macedonian 19
- officials, Prime Minister Pandeli Majko travelled to Tetova where 20
- hundreds of Albanians gathered to welcome him. First at the premises 21
- of Tetova municipality, the chief of the Albanian Government, Pandeli 22
- Majko met with the President of the Kosovo Provisional Government, 23
- Hashim Thaci, with whom he had a long tête-à-tête meeting." 24
- 25 Did you know that on 17 June 1999 Hashim Thaci was meeting with

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- high-level officials in Macedonia and the Albanian prime minister? 1
- Hang on. This is taking place in Albania -- in Macedonia, isn't 2
- The 17th. So this is -- he met them at Tetovo -- yes, I --3
- well, I knew he was definitely there on the 18th, but as I said, I 4
- had a -- I couldn't be sure whether it was on the 17th as well. 5
- But did you know that he wasn't with you on the 17th because he 6
- was meeting a prime minister? 7
- Α. No. 8
- I'd now like to show you a notebook that we have that's in 9
- 10 evidence.
- MR. HALLING: If P3910 can be put on the screen, and 11
- specifically page SPOE00229442. 12
- Now, just for context, this is something that we found in 13
- Jakup Krasnigi's residence, and there's a page of notes on it that I 14
- wanted to show you. And it's here. 15
- MR. HALLING: And actually I don't think we need the original 16
- Albanian because the witness will only look at the English, and then 17
- 18 we can make the English bigger.
- Witness, I'd just like to give you a moment to familiarise 19
- yourself with the contents of the page. 20
- MR. HALLING: And we may need to scroll down to capture -- yes, 21
- there. Thank you. 22
- MR. ELLIS: Your Honour, whilst that's being read, we would like 23
- to see the original Albanian in this case to be able to check what's 24
- 25 written, please.

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- MR. HALLING: It's P3910. I don't see the value in the witness 1
- having it. We don't have strong feelings. 2
- PRESIDING JUDGE SMITH: Pull it up. 3
- MR. HALLING: 4
- Witness, that made the font smaller, but please just focus on 5
- the right side of the screen. When you're familiar, my question is 6
- going to be: Are these topics raised during the 17 June 1999 7
- meeting? 8
- Some of them. Α. 9
- 10 Ο. We'll focus on a few.
- "I still recommend the document to be titled ..." 11
- Do you see that? 12
- 13 Α. Yes.
- 14 "... 'The undertaking of the KLA demilitarisation'.
- "This shows ... you are doing this with your own choice." 15
- Witness, was it stressed to the KLA on 17 June that it would be 16
- good if it was clear that the undertaking came from them? 17
- It was made very clear to them that this had to be a unilateral 18
- undertaking. 19
- What is here under point 4: 20
- "Within 90 days, all KLA forces will finish the demilitarisation 21
- of the KLA." 22
- Was this 90-day deadline discussed in the 17 June 1999 meeting? 23
- Yes, it was their request. 24 Α.
- 25 Q. Under point 5, aren't these the same points, the political

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- points you said the KLA demanded at this meeting and which become
- 2 paragraph 25 of the undertaking?
- 3 A. This is, indeed, a reiteration of what Krasniqi had said
- 4 publicly in a statement, which I did see, which is calling for --
- 5 that became -- that's the basis of what became paragraph 25.
- 6 Q. And for point 2, where it says:
- 7 "We will change word ... Kosovo at KLA- ... this will be
- 8 throughout the text."
- 9 If I put it to you that it said "Kosovo Albanian forces" in an
- earlier draft, does that refresh your recollection?
- 11 A. I think that's right, yes. Yes, indeed. Now you say it, I
- think that was the original title, Kosovo Albanian forces.
- Q. And the KLA insisted that it be changed to KLA.
- 14 A. They requested it. They requested it. They didn't insist, and
- we were quite happy to do that.
- 16 Q. Okay. You spoke about Ramush Haradinaj being there on 17 June
- 17 1999. Yes?
- 18 A. Yes, indeed.
- 19 Q. And you -- did he stay throughout the entirety of the -- the
- 20 entire duration of the negotiations?
- 21 A. Well, the negotiations in Kosovo only took place on the 17th in
- 22 the evening and on the 19th through the whole day. He was -- as far
- as I can recall, he was there the entire time.
- Q. We have an interview of Ramush Haradinaj in a book that I would
- like to show you.

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- Α. Famous book, yes. 1
- MR. HALLING: So if we can go to SPOE00053072 to 00053085-ET, 2
- and it'll be on page SPOE00053082, and it's columns but it's on the 3
- left side of the page. 4
- THE WITNESS: I know its irregular, but you didn't ask me what 5
- wasn't in there, and there's one glaring example of what wasn't in 6
- 7 there.
- MR. HALLING: 8
- Go ahead. Ο. 9
- 10 The General Staff and the command structure of the KLA. That
- was never discussed, did not come up. 11
- Ο. But all of the other topics that we listed --12
- The ones --13
- -- they are all topics you recall from the discussions on 14
- 17 June? 15
- Α. The ones that you have asked me about, yes. 16
- Now to focus --Ο. 17
- 18 Α. Sorry.
- -- on the left side of the page here. The interviewer asks 19
- Ramush Haradinaj: 20
- "Let us now talk about the procedure for reaching an agreement 21
- on the transformation of the KLA." 22
- 23 Answer:
- "The first meetings on the transformation of the KLA were also 24
- 25 held in Berisha. I recall a meeting in which John Riff," it says, "a

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- senior NATO commander who had come from Albania under extraordinary 1
- pressure, demanded that we accept an agreement to demilitarise ... 2
- within the first thirty days. This meant handing over our weapons 3
- and our uniforms within 30 days, and returning home." 4
- He was then asked another question, and then in the next answer 5
- he adds: 6
- "The first challenge was that the discussions focused on the 7
- disarmament of armed groups. Jakup Krasniqi reacted very well. He 8
- demanded that there be no talk about the transformation agreement" --9
- 10 sorry.
- "He demanded that there be no talk about the disarmament of 11
- Albanian armed groups, but rather about the Kosovo Liberation Army, 12
- because it was about an army. Of course, [the] reaction of 13
- 14 Jakup Krasnigi was supported by all of us."
- So this meeting with John Riff, the transformation agreement, 15
- where -- is this consistent with your recollection of the 17 June? 16
- It's a perception from the Albanian side, Kosovar Albanian side 17
- 18 of what we were discussing on 17 June. The 30 days, I don't recall
- that we actually had in the first draft "30 days." It strikes me as 19
- an unrealistic date to do. To disarm an army of 20.000 people in 30 20
- days seems ambitious, but I could be wrong there. 21
- The question of Albanian armed groups was my sloppy drafting at 22
- the beginning. When I drafted it, I put in a title which was about 23
- Albanian armed groups, and they said, "We want to be -- a proper 24
- 25 title of the Kosovo Liberation Army." And since we were talking to

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- the KLA, we agreed and said, "We'll be precise in our language here.
- We're not talking about unnamed Albanian armed groups, we're talking
- about you. You call yourselves the Kosovo Liberation Army. It's
- 4 your undertaking. We're happy for you to put it in here." He is
- saying it's because it's about an army with all he implies in all
- 6 that. That is not the way we saw it. It's his perception.
- 7 Q. And then just below that in the question:
- 8 "Who was at that meeting?"
- 9 Ramush Haradinaj responds:
- "All zone commanders and the Political Directorate of the KLA
- General Staff attended the meeting, though Hashim Thaci was absent.
- He was not at this meeting. As far as I know, he was in Skopje that
- day meeting with James Rubin, in an attempt to advance these talks."
- And you had said in your evidence earlier today that
- Hashim Thaci was not there on the 17th and there were political
- 16 representatives.
- 17 A. That's correct.
- 18 Q. Correct?
- 19 A. That's correct.
- Q. Now, this reaction of Jakup Krasniqi about the Albanian armed
- groups where you were just commenting on the drafting, do you
- 22 remember Jakup Krasniqi making this intervention?
- 23 A. Yes.
- Q. Now, you were shown a video of these developments, and I think
- we won't be able to show parts of the video until tomorrow because

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- we're almost out of time, but just to start the topic, you were shown 1
- footage of the banquet that followed the negotiations. 2
- Α. Yes. 3
- You remember this from your evidence earlier today. And you 4
- were talking about how this was a very important part of negotiations 5
- in the Balkans, and it was pretty much the same people. Were the 6
- people you considered to be the most important all at that banquet? 7
- I think it was a more restrictive group of people than were 8
- actually in the room, because there are -- as you see from the video, 9
- 10 there are a lot of people in the room. It was a more restrictive
- group. I think Ramush -- yes, Ramush was there. I think Remi was 11
- There were around about roughly 12 people around the table, 12
- maybe a bit more, maybe 14, but there were considerably more people 13
- in the negotiations. How they were chosen, I couldn't tell you. 14
- 15 Q. Okay.
- MR. HALLING: Now, Your Honours, I note the time. We could stop 16
- for the day here. 17
- 18 PRESIDING JUDGE SMITH: [Microphone not activated].
- Do not speak to anyone outside the courtroom about your 19
- testimony. We'll see you tomorrow morning at 9.00. Thank you for 20
- being with us today. 21
- THE WITNESS: Thank you. 22
- [The witness stands down] 23
- PRESIDING JUDGE SMITH: All right, Mr. Ellis. 24
- 25 MR. ELLIS: Your Honour, I just wanted to ask for tomorrow that

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25

where documents are originally in Albanian or where there is an 1 Albanian translation, it would assist if that could be displayed on 2 the screen as well for the benefit of Mr. Krasniqi who does not speak or read English. PRESIDING JUDGE SMITH: Thank you. That can be done. 5 MR. HALLING: We can, Your Honour. 6 PRESIDING JUDGE SMITH: Yeah. 7 So we'll see you tomorrow morning. We're adjourned until 8 9.00 a.m. 9 10 --- Whereupon the hearing adjourned at 4.29 p.m. 11 12 13 14 15 16 17 18 19 20 21 22 23 24

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